



Adaptation Pathway Modelling

An Interdisciplinary Endeavor and the Role of Coastal Engineering.

FZK-Kolloquium, 15.02.2018

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Agenda

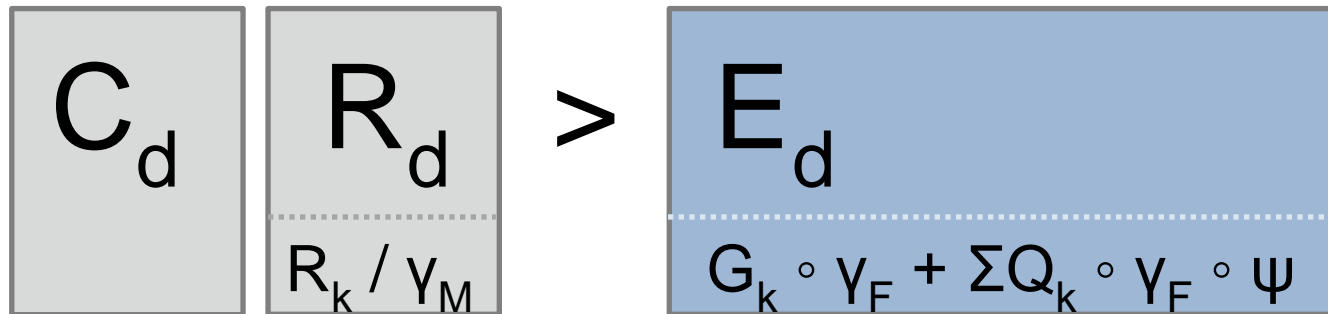
- Introduction and Motivation
- Adaptation Pathways
- Project: DICES
- Conclusion

Theory

Practice

Status Quo

- As of today, the general design guidelines works with the concept of
 - Load or impact (E_d) versus
 - resistance (R_d) and
 - usability (C_d),
- Principle: Resistance must be larger than the impact
(DIN 1055-100; since 2012 - DIN EN 1990, Eurocode 0 or EC 0):



The diagram illustrates the design principle: Resistance must be larger than the impact. It consists of three main components: a box for C_d , a box for R_d with a sub-section for R_k / γ_M , and a large box for E_d with a sub-section for $G_k \circ \gamma_F + \sum Q_k \circ \gamma_F \circ \psi$. A greater-than sign (>) is placed between the resistance box and the impact box.

$$\begin{array}{|c|} \hline C_d \\ \hline \end{array} \quad \begin{array}{|c|} \hline R_d \\ \hline R_k / \gamma_M \\ \hline \end{array} > \begin{array}{|c|} \hline E_d \\ \hline G_k \circ \gamma_F + \sum Q_k \circ \gamma_F \circ \psi \\ \hline \end{array}$$

Status Quo

$$\begin{array}{|c|} \hline C_d \\ \hline \end{array}
 \begin{array}{|c|} \hline R_d \\ \hline R_k / \gamma_M \\ \hline \end{array}
 >
 \begin{array}{|c|} \hline E_d \\ \hline G_k \circ \gamma_F + \sum Q_k \circ \gamma_F \circ \psi \\ \hline \end{array}$$

- Buildings and structures are designed and constructed for a calculated lifetime of several decades (50 / 80 / 100 years).
- Design cases are worst-case-events of a given recurrence (1/10a, 1/100a, etc.).
- This mindset presumes
 - a static behaviour and
 - produces solid and strong buildings and structures,
 that are supposed to resist and withstand these impacts over their lifetime.

Tremendous efforts have been made to invent, design and build coastal engineering structures.

(image: rd.nl - Deltawerke)





Coastal engineering structures have kept us safe for centuries.

(image: astro-science.com – 1981 Hochwasser)



Does *coastal protection* mean "*protect the coastal inhabitants*" or does it imply *the coast as whole*?

(image: serioustravel.com – Male, the Maldives)



Coastal Protection: What is the cost beyond the monetary?

(David 2014, Gili Islands, Indonesia)



Coastal Protection: What is the benefit beyond the monetary?

(Source: Cleanmalaysia.com, BioRock Cage)



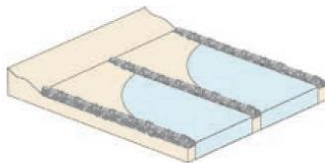
What are proper means to protect coasts in the face of climate change?

(David, 2017 – Fuvahmulah, the Maldives)

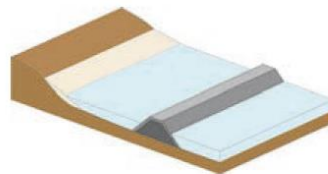


Portfolio of Options (Gray)

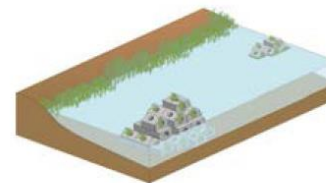
Coastal Waters



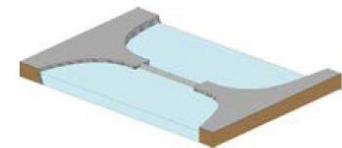
Groins



Breakwaters

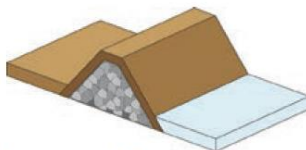


Artificial Reefs

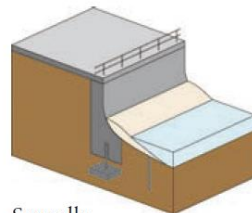


Surge Barriers

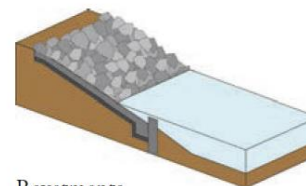
Shoreline



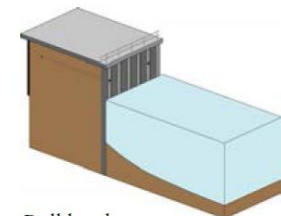
Levees (or Dikes)



Seawalls

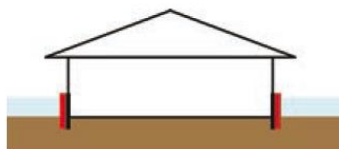


Revetments

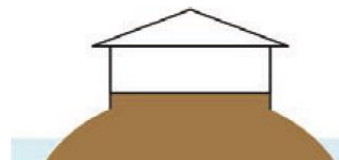


Bulkheads

(Infra-) Structural Measures



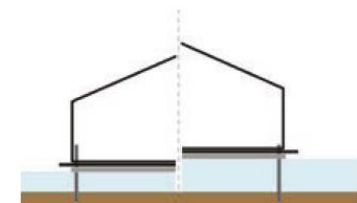
Dry Floodproofing



Elevate on Fill or Mound



Site Protection

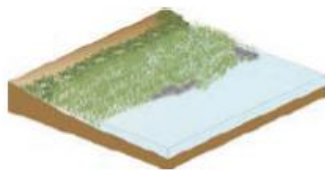


Floating Structures

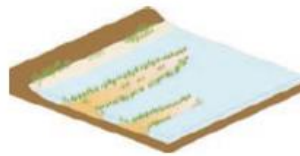


Portfolio of Options (Green)

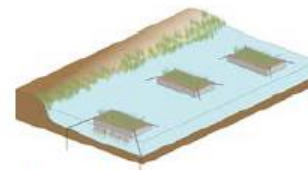
Coastal Waters



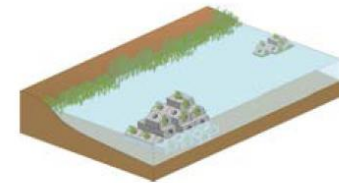
Constructed Wetlands



Constructed Breakwater Islands

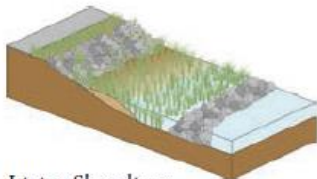


Floating Islands



Artificial Reefs

Shoreline



Living Shorelines



Waterfront Parks



Beaches and Dunes

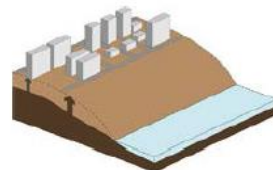


Multi-purpose Levees

(Infra-) Structural Measures



Strategic Retreat



Elevation of Land and Streets



Status Quo Ecosystem-based Coastal Engineering

Pontee et al. (2016) in NL, UK + USA; David et al. (2016) in Indonesia collect [examples of application and implementation](#) of ecosystem-based coastal measures and conclude:

- Existing global trends, to [replace or complement](#) „hard“ with „soft“ coastal [engineering measures](#), to maintain or support ecosystem services.
- [Incentives](#) from international conventions and frameworks (Hyogo Framework of Actions, Sendai, Sustainable Development Goals) and [financial support](#) from United Nations Framework Convention on Climate Change, United Nations International Strategy for Disaster Reduction, GAF and World Bank
- [Significant potential for wave attenuation](#) $R = 1 - (H_i/H_t)$ for NbS of about $R = 35-71\%$ (Narayan et al. 2016)



Status Quo Ecosystem-based Coastal Engineering

Deficits, when dealing with Building with Nature:

- **No uniform terminology** in practice and literature:
Nature based Solutions (NbS), BwN (Building with Nature), Engineering with Nature (USACE), Eco-DRR, Green Engineering, etc.
 - Great **uncertainties** regarding the design, recommendations, efficiency and durability.
 - **Guideline gap**: False implementation and maintenance is perceived as failure of NbS.
 - Measures so far are mostly pilot studies → **no direct comparison** between „hard“ and „soft“ measures
- **Not enough confidence** and trust in NbS



Status Quo

$$\begin{array}{|c|} \hline C_d \\ \hline \end{array} \begin{array}{|c|} \hline R_d \\ \hline R_k / \gamma_M \\ \hline \end{array} > \begin{array}{|c|} \hline E_d \\ \hline G_k \circ \gamma_F + \sum Q_k \circ \gamma_F \circ \psi \\ \hline \end{array}$$

In this sense, the research of **Ludwig-Franzius-Institute** focusses on:

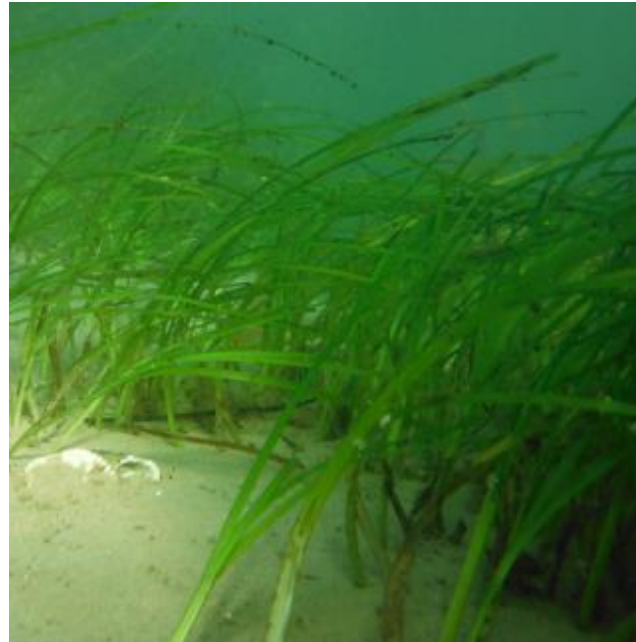
- What is $R_D \rightarrow$ design guidelines

Status Quo Ecosystem-based Coastal Engineering

- NbS related projects at Ludwig Franzius Institute:



Development of intelligent monitoring techniques and recommendations for green seadikes (EcoDike, WP4)



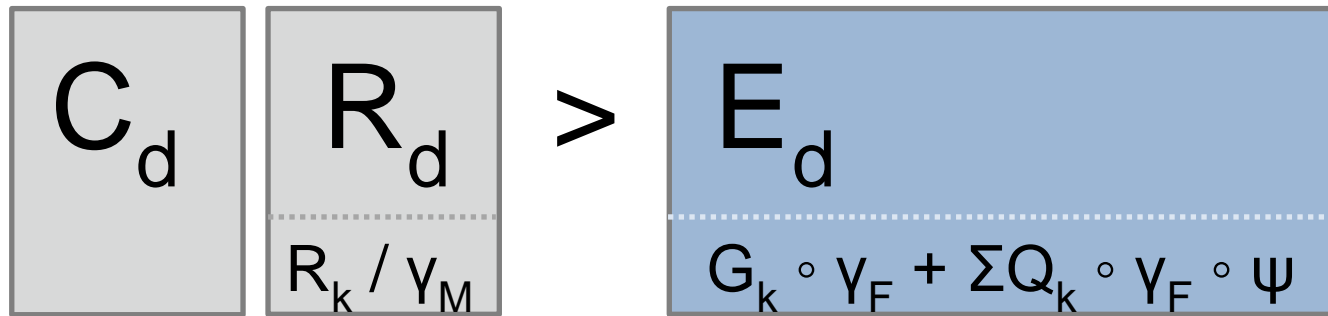
Artificial, biodegradable seagrass, supporting seagrass reforestation (SeaArt)



Coir fibre geotextile as filter, stabilization and initial shelter for a nature based revetement in Tabanan, Bali, Indonesien (David et al., 2016)

- Questions about appropriateness, social acceptance and implementation (planning) mostly unanswered!

Status Quo

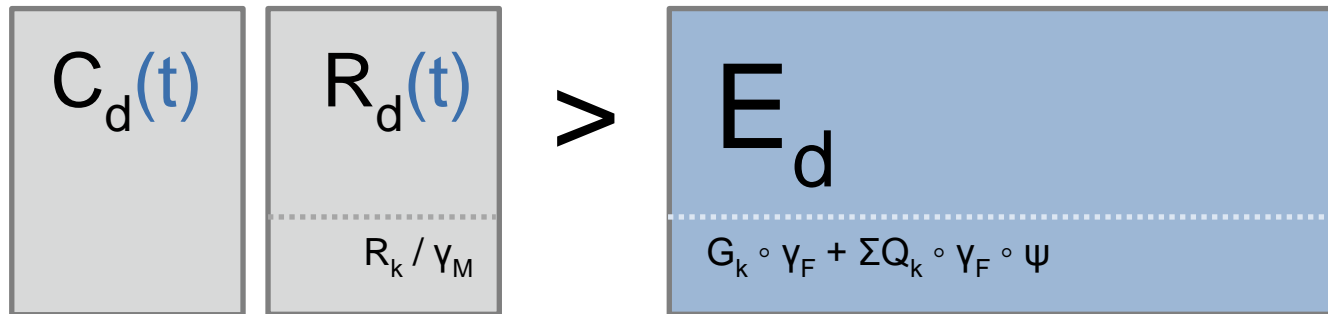


In this sense, the research of **Ludwig-Franzius-Institute** focusses on:

- What is $R_D \rightarrow$ design guidelines
 - [Appropriateness](#), [social acceptance](#) and [implementation](#)?
 - What is Resistance and Usability [over time](#) ($C_D(t)$, $R_D(t)$)?
 - Which [mindset](#) is required to put NbS into [engineering practice](#)?
- \rightarrow [Adaptation Pathways](#)
(see in: Haasnoot et al. 2013, Kwakkel et al. 2013 and 2016, Ranger et al. 2013)



Status Quo



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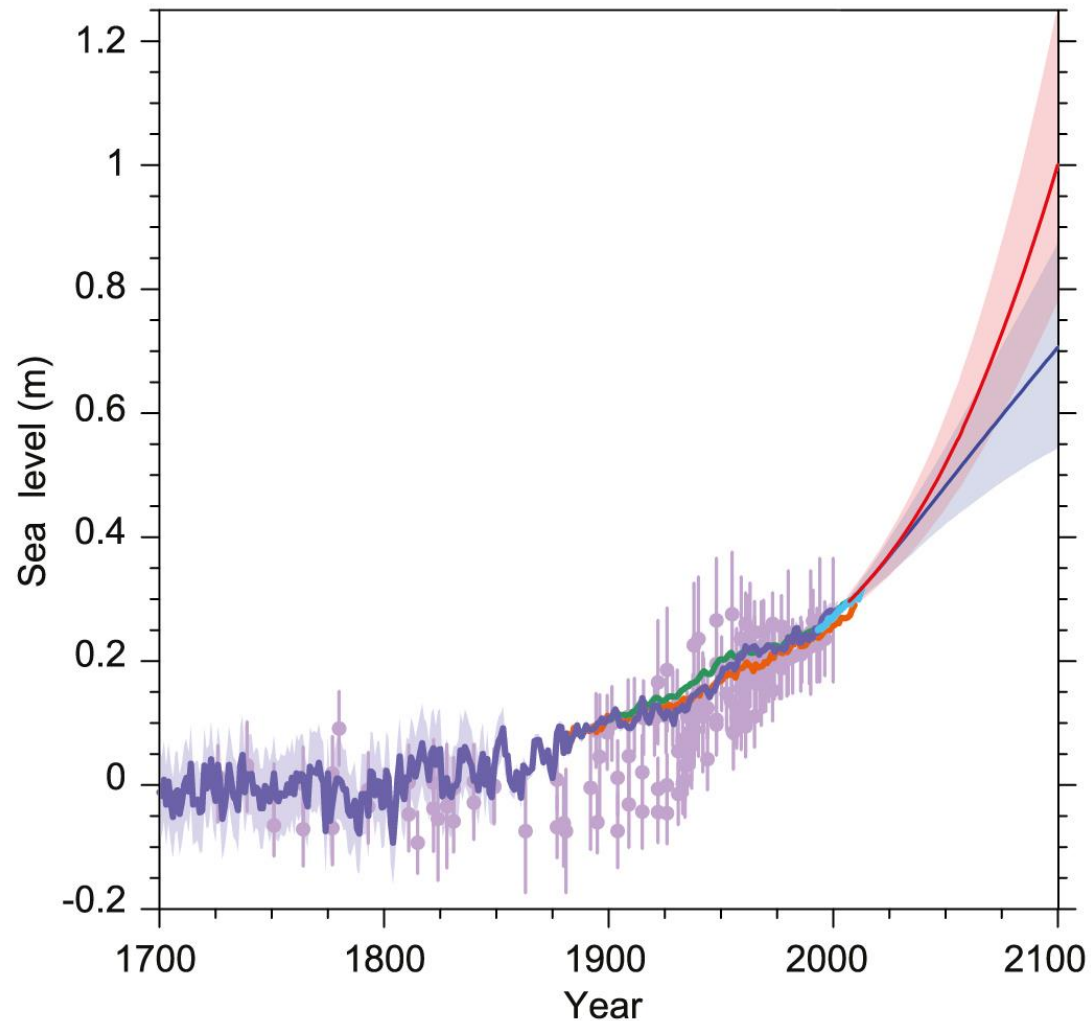


Planning for an Uncertain, Distant Future (**Status Quo**)





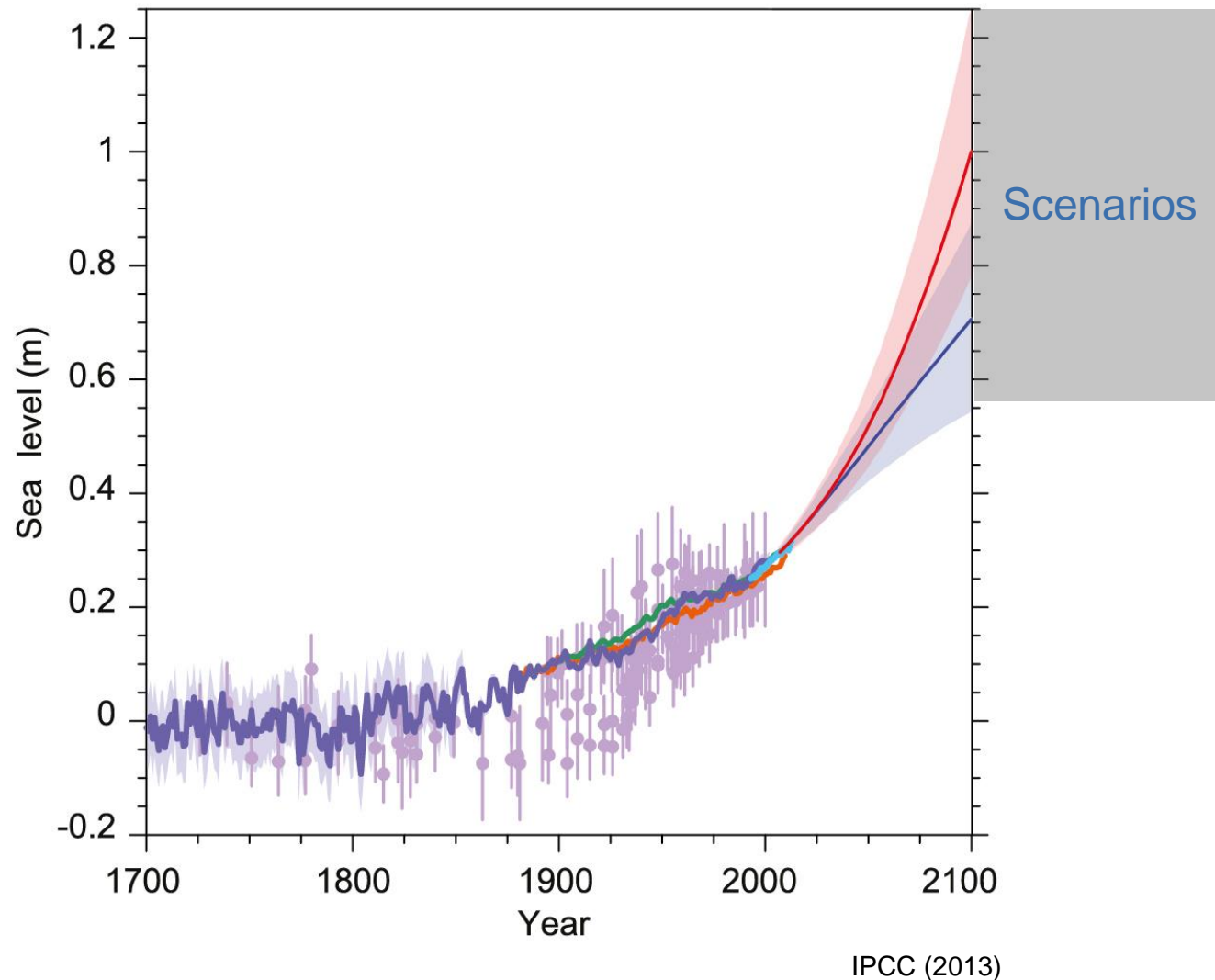
Planning for an Uncertain, Distant Future (**Status Quo**)



IPCC (2013)

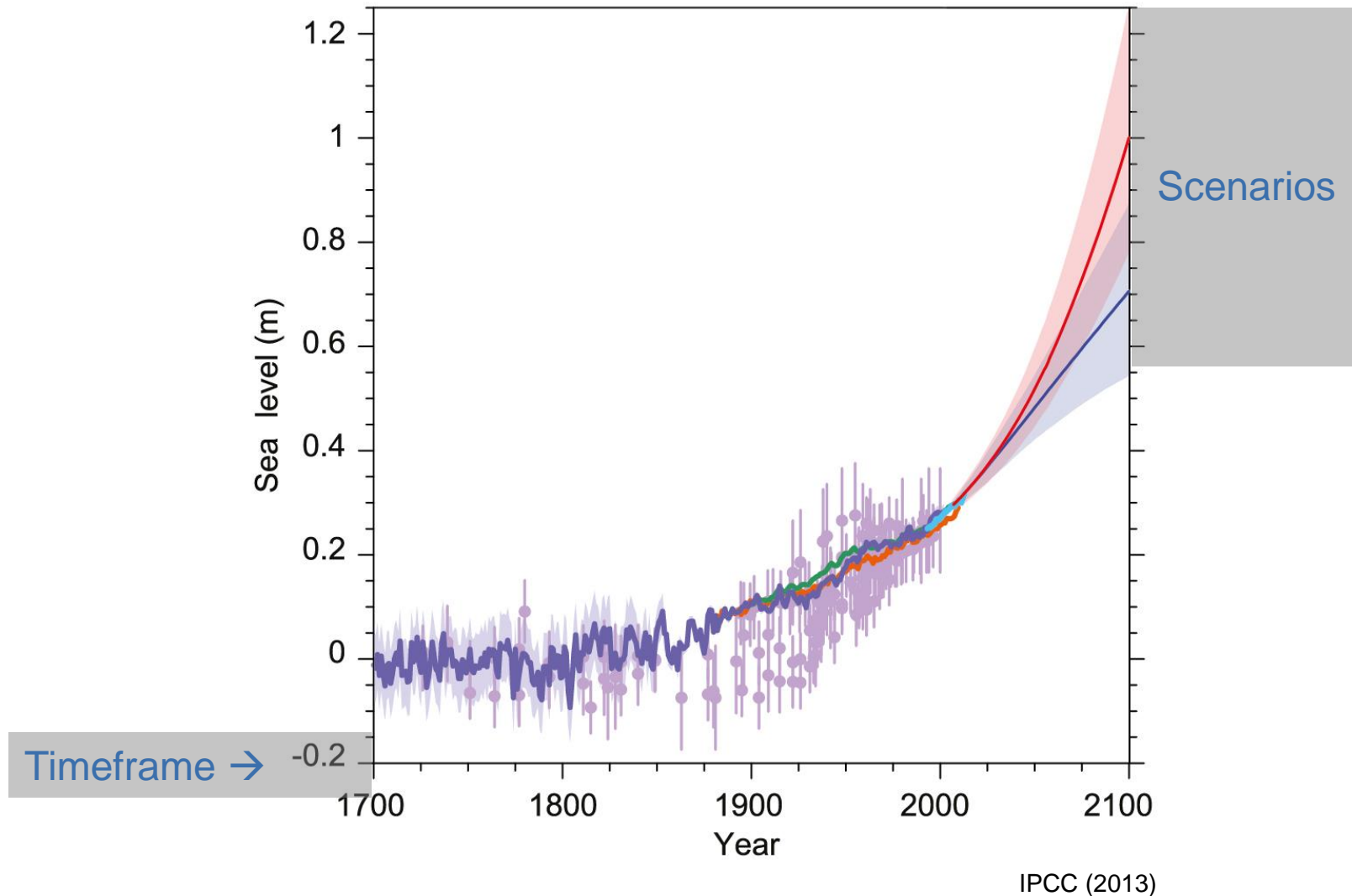


Planning for an Uncertain, Distant Future (**Status Quo**)





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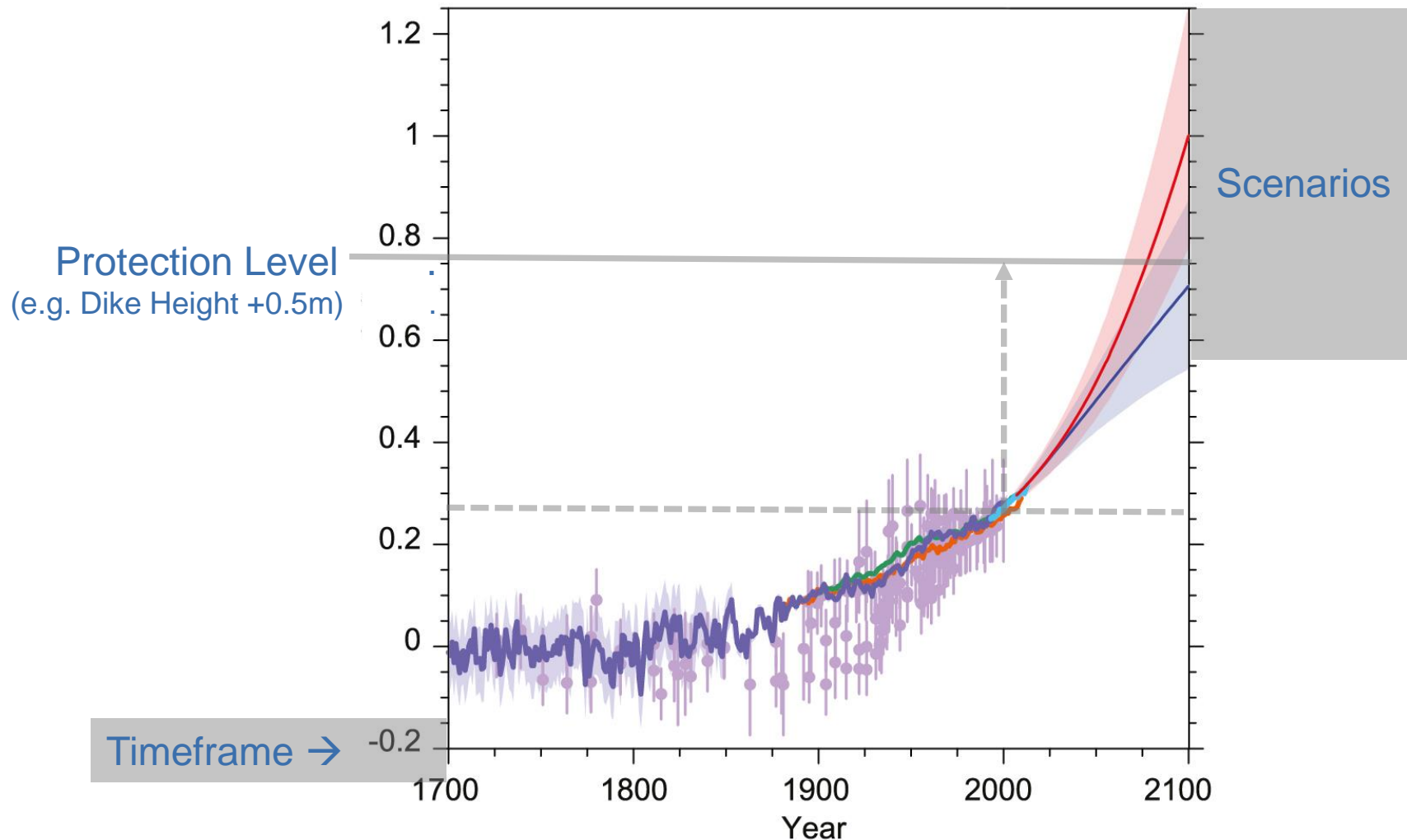


Planning for an Uncertain, Distant Future (**Status Quo**)





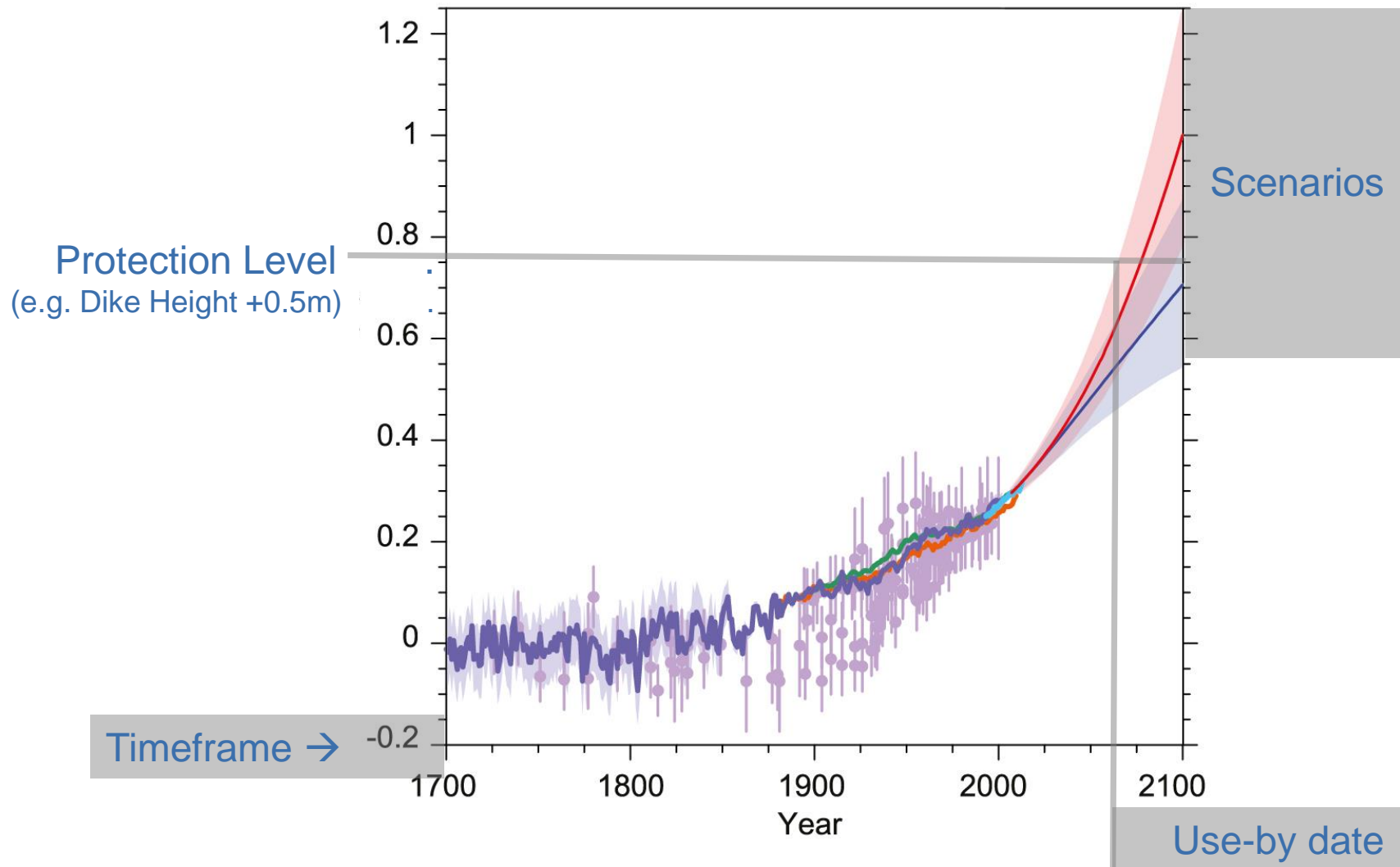
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IPCC (2013)

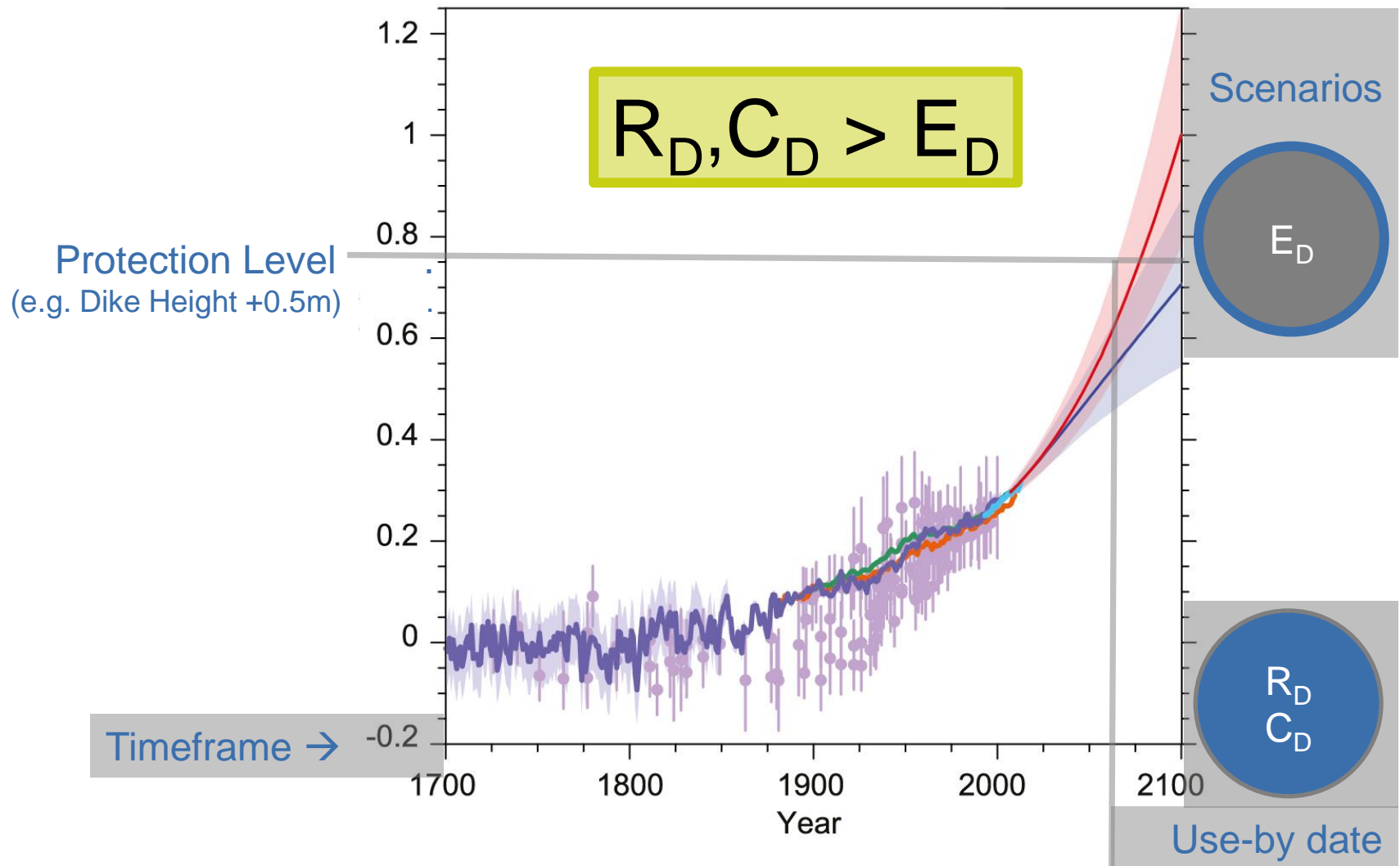


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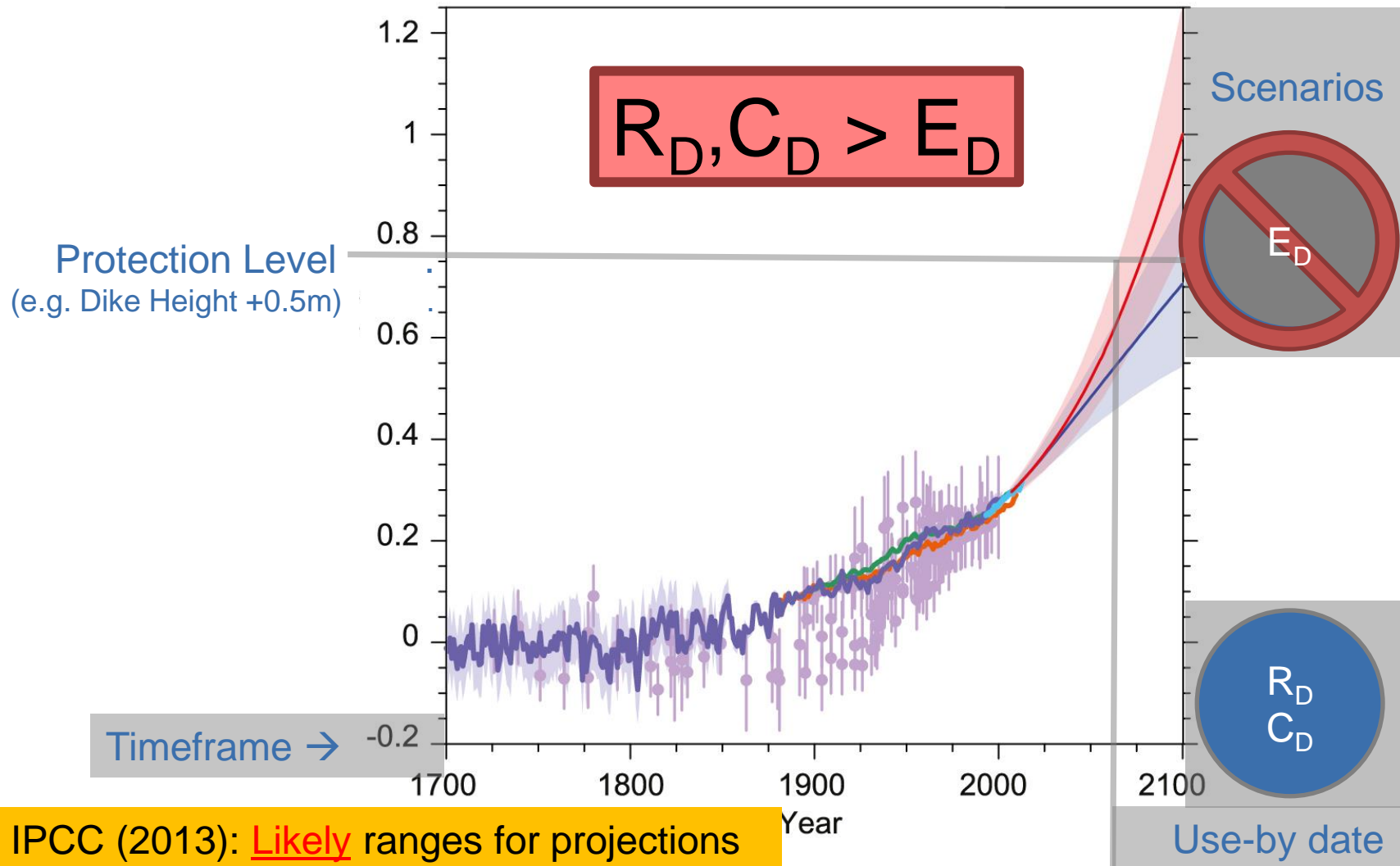


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Planning for an Uncertain, Distant Future

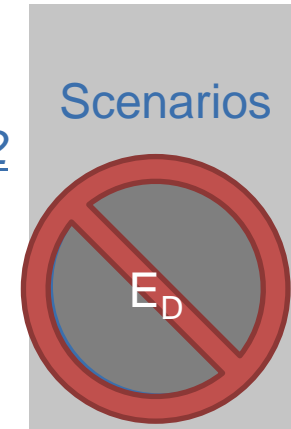




Planning for an Uncertain, Distant Future

Nerem et al. February 12, 2018:

... we estimate the [...] global mean sea level could rise 65 ± 12 cm by 2100 compared with 2005, [which is] roughly in agreement with the Intergovernmental Panel on Climate Change (IPCC) 5th Assessment Report (AR5) model projections. (Nerem et al., 2018)



"This is almost certainly a conservative estimate," Nerem said. "Our extrapolation assumes that sea level continues to change in the future as it has over the last 25 years. Given the large changes we are seeing in the ice sheets today, that's not likely."

<https://climate.nasa.gov/news/2680/new-study-finds-sea-level-rise-accelerating/>

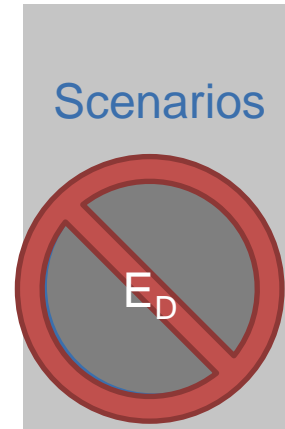
Planning for an Uncertain, Distant Future

Arns et al. (2017, focus on shallow shelf areas of the **German Wadden Sea**)

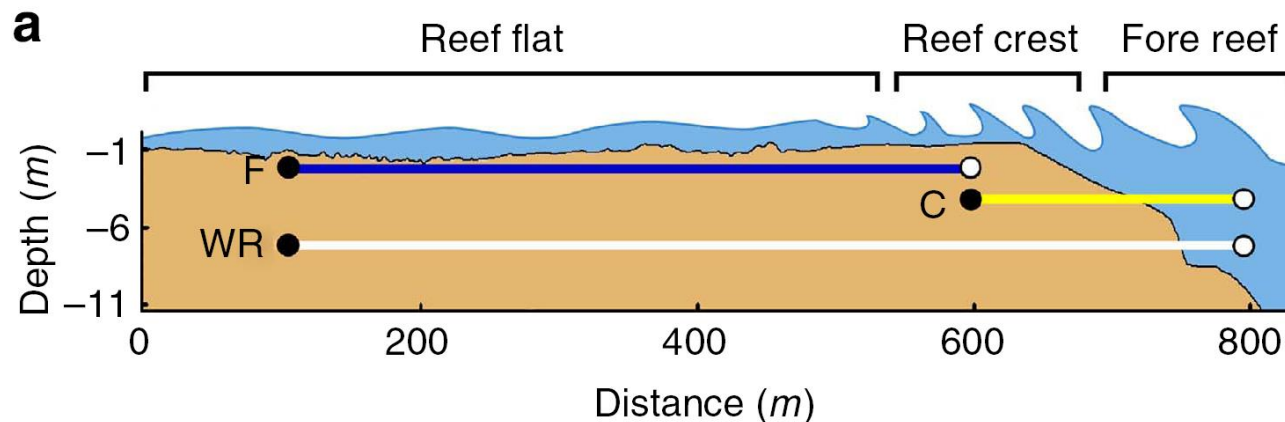
"Under [climate change induced sea-level rise], fewer waves are affected by [depth induced] wave breaking facilitating access to the coast"

Chariton et al. (2016, focus on reef-island Roi-Namur)

"... very low-frequency waves (sub-infragravity: 0.004–0.001 Hz) were the major contributors to run-up at the shoreline."

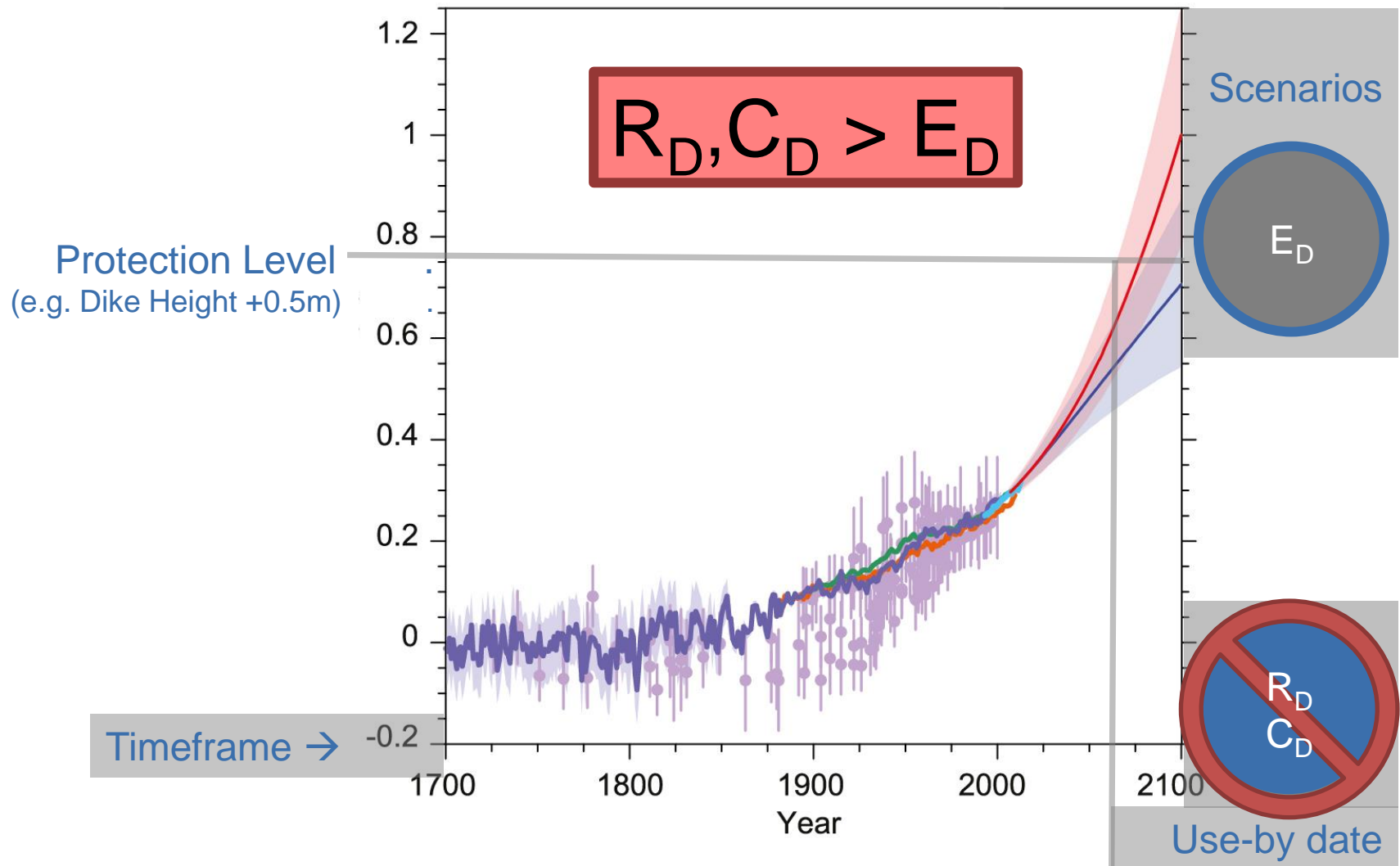


Reef / Foreshore dynamics? Ressonances?





Planning for an Uncertain, Distant Future



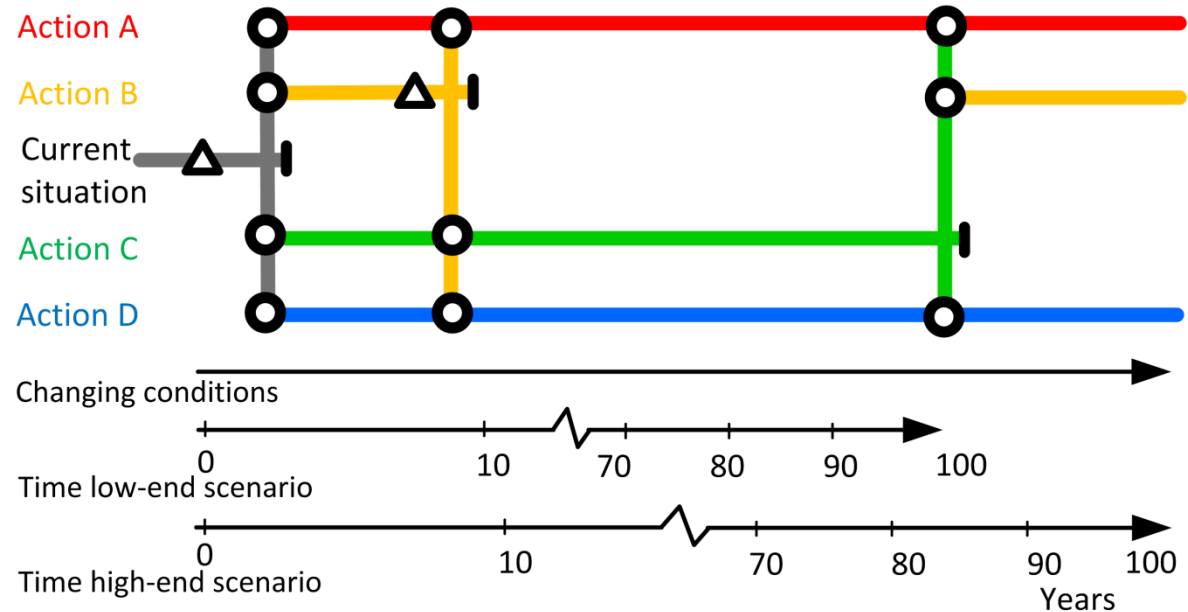
Planning for an Uncertain, Distant Future

Static approach:
(e.g. DIN EN 1990)

$$R_D, C_D \geq E_D$$

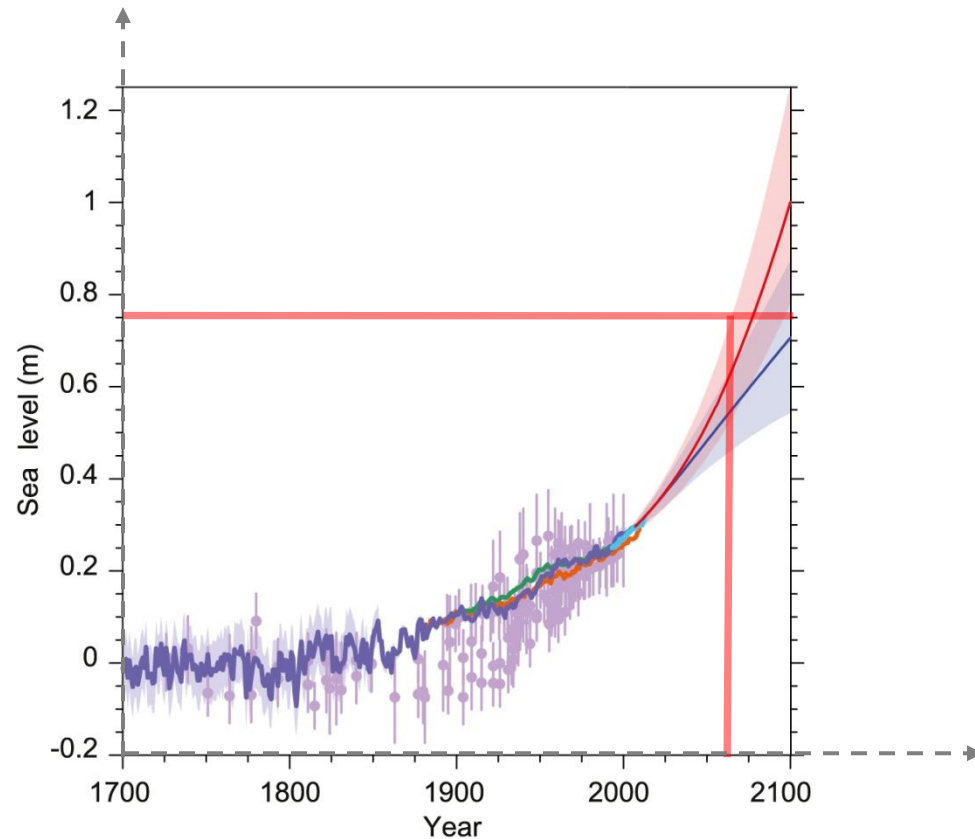
Dynamic Adaptation
Pathway Modelling
(Haasnot et al. 2013)

Adaptation Pathways Map



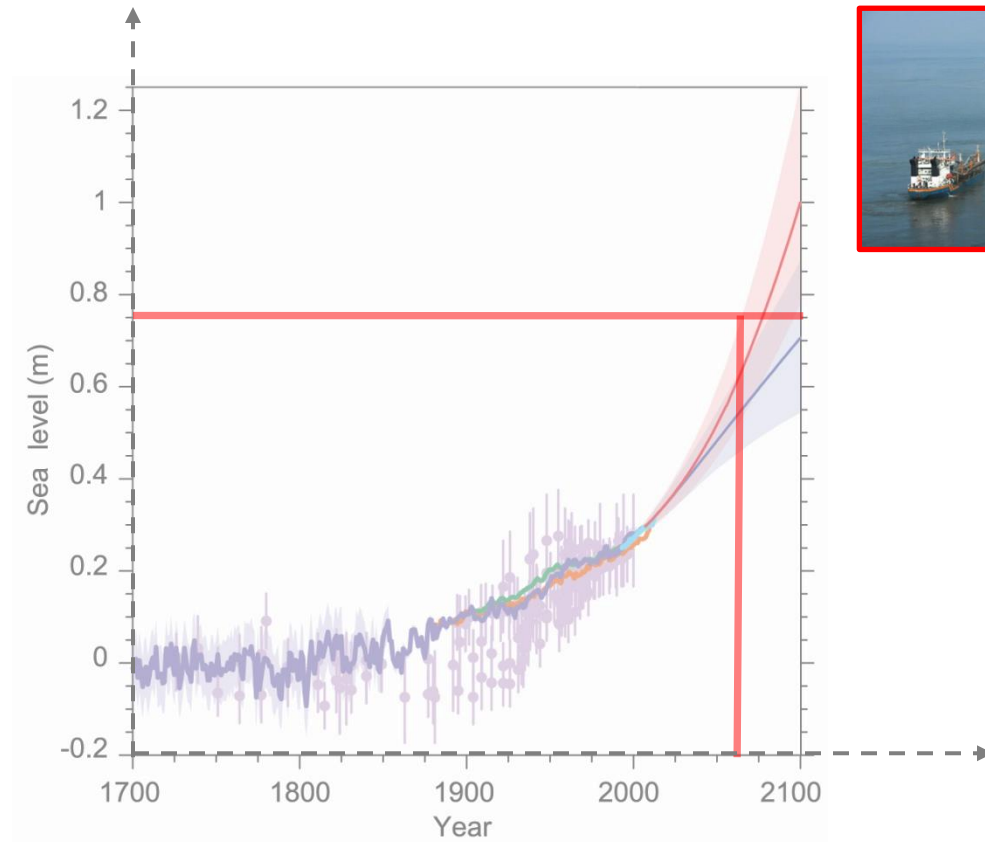


Planning for an Uncertain, Distant Future (Status Quo)





Planning for an Uncertain, Distant Future (Status Quo)

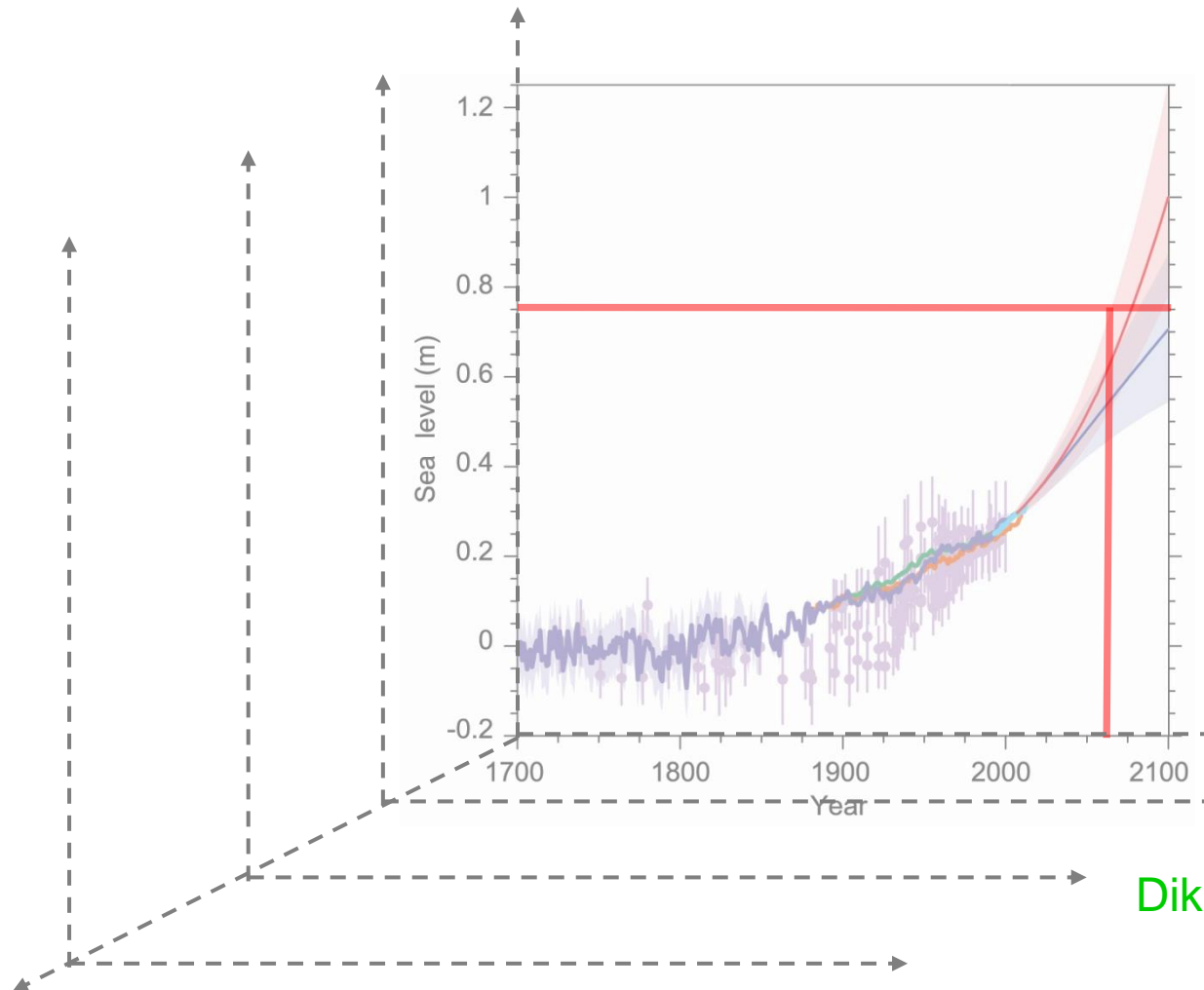


Nourishment





Planning for an Uncertain, Distant Future



Nourishment



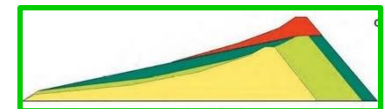
Seagrass



Dike (+0.8m)

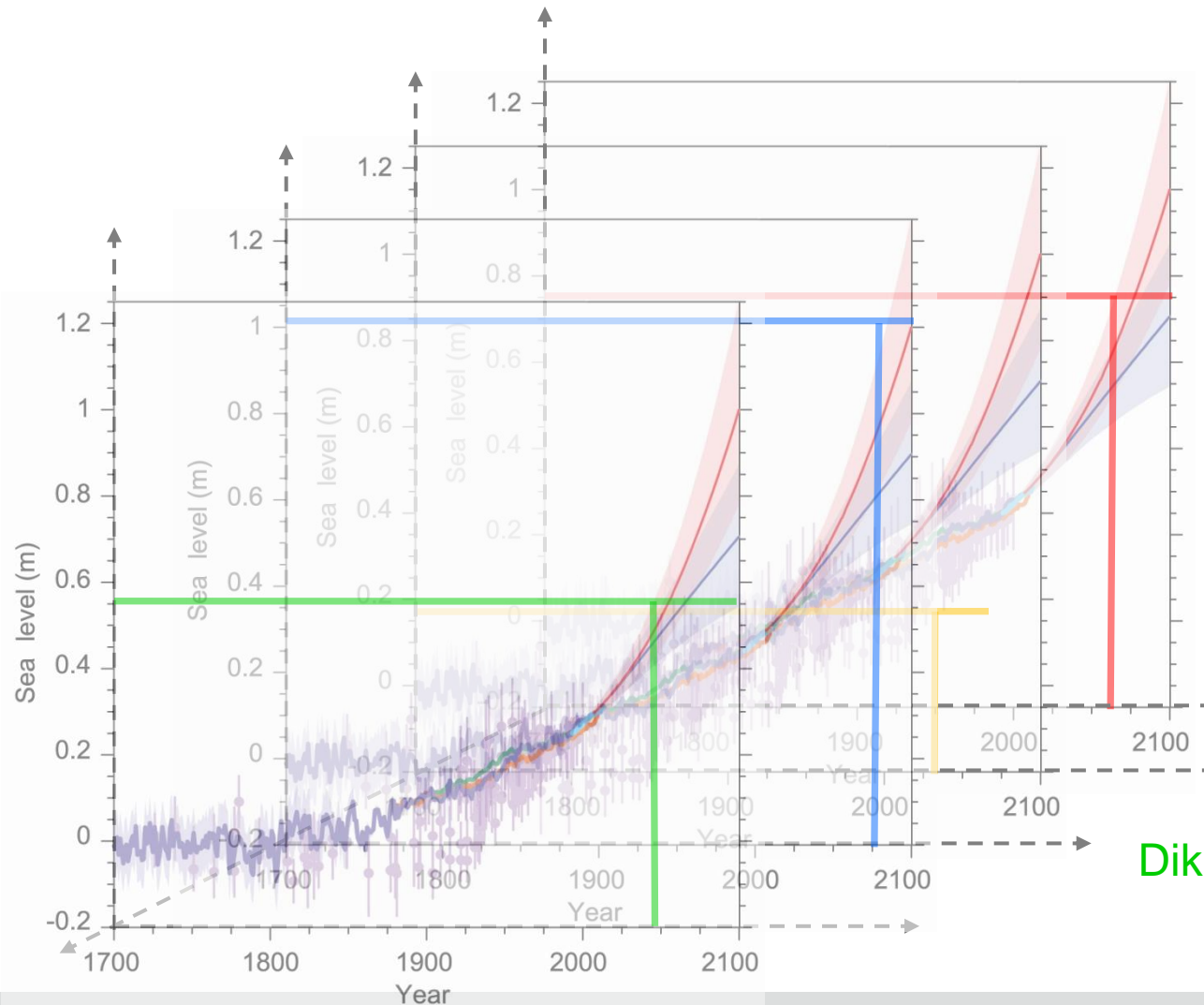


Dike (+0.5m) + Buffer





Planning for an Uncertain, Distant Future



Nourishment



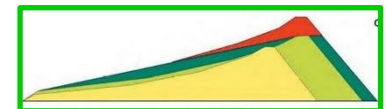
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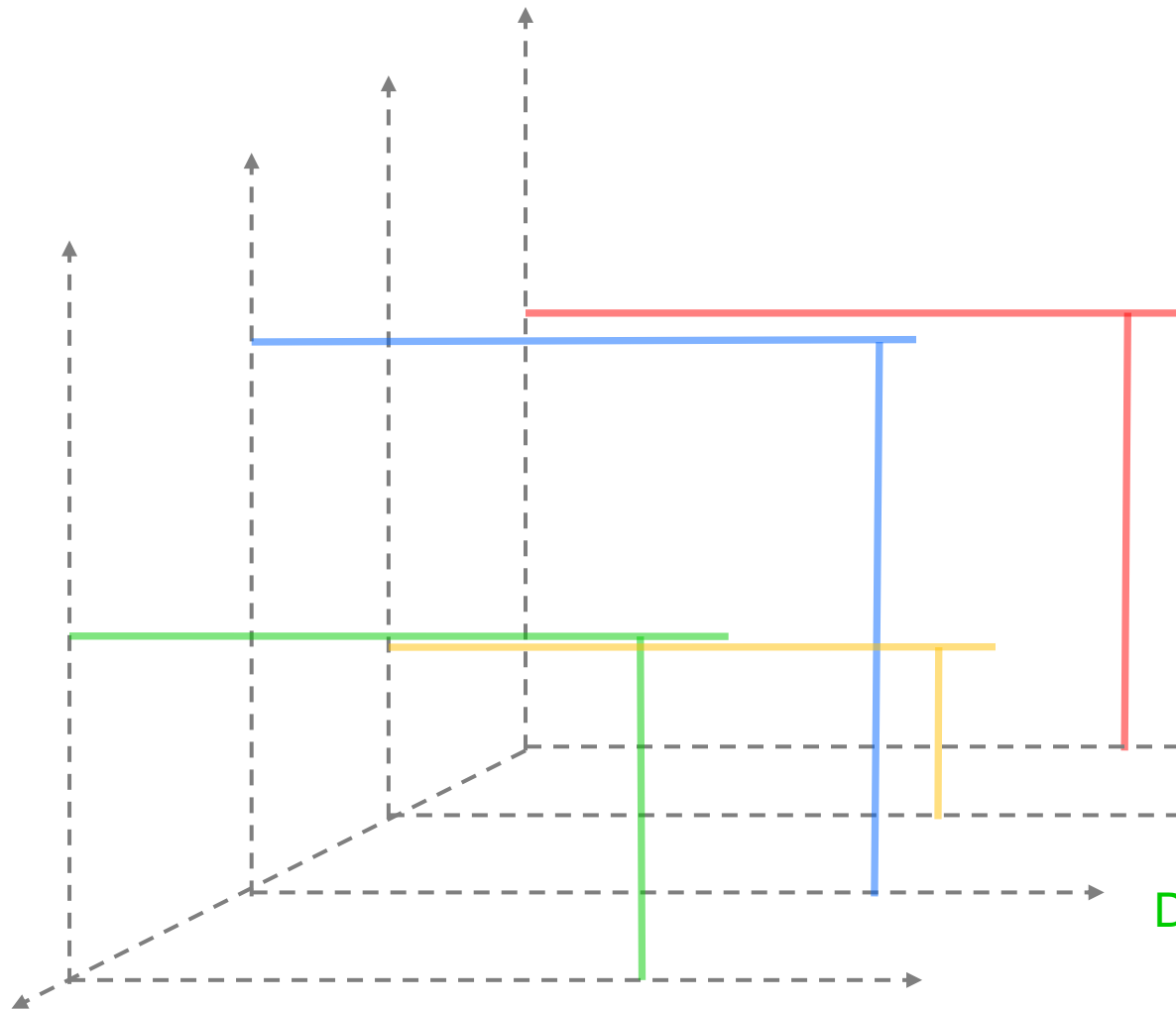


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Planning for an Uncertain, Distant Future



Nourishment



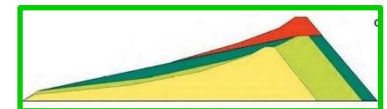
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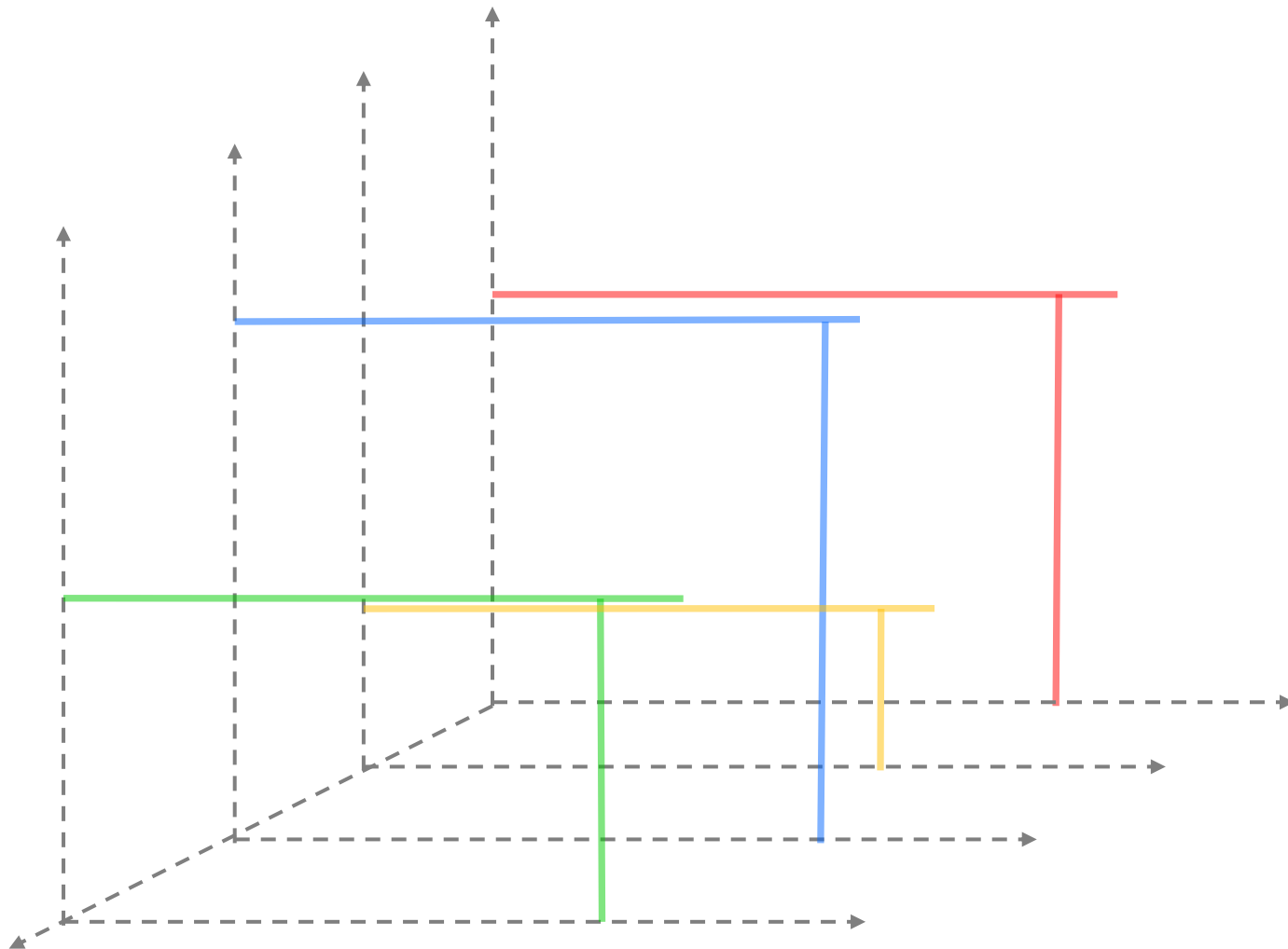


Dike (+0.5m) + Buffer



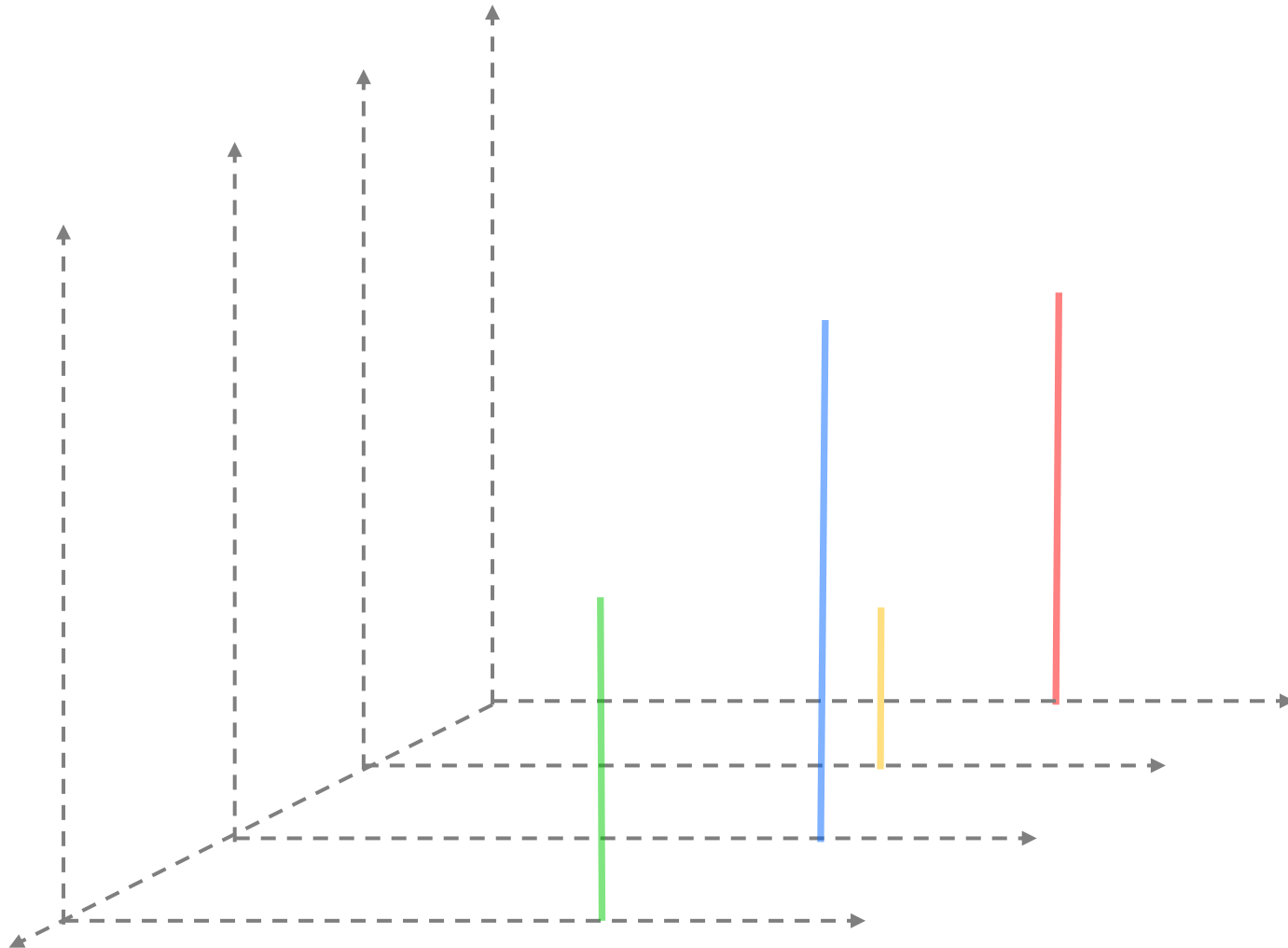


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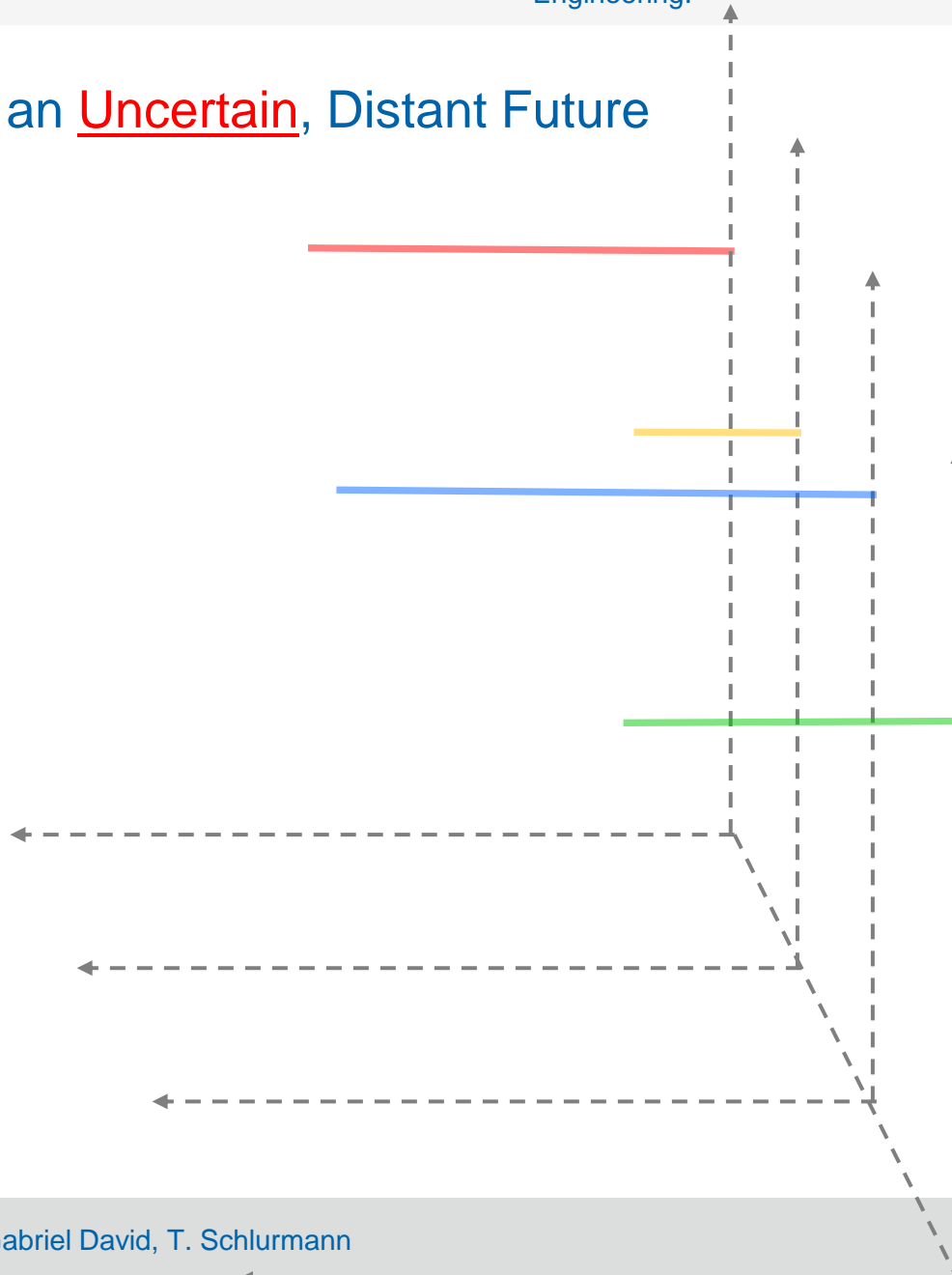


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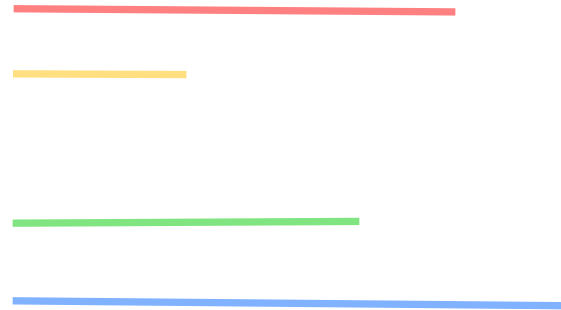




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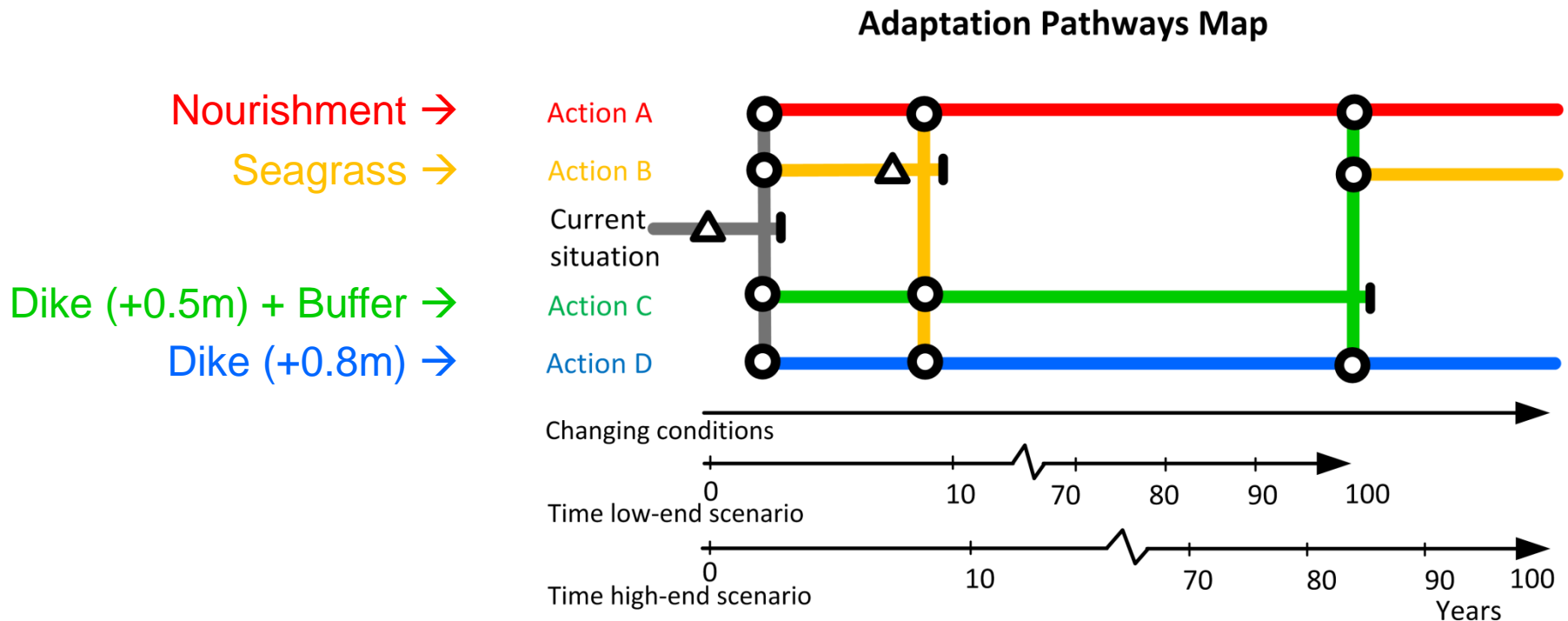


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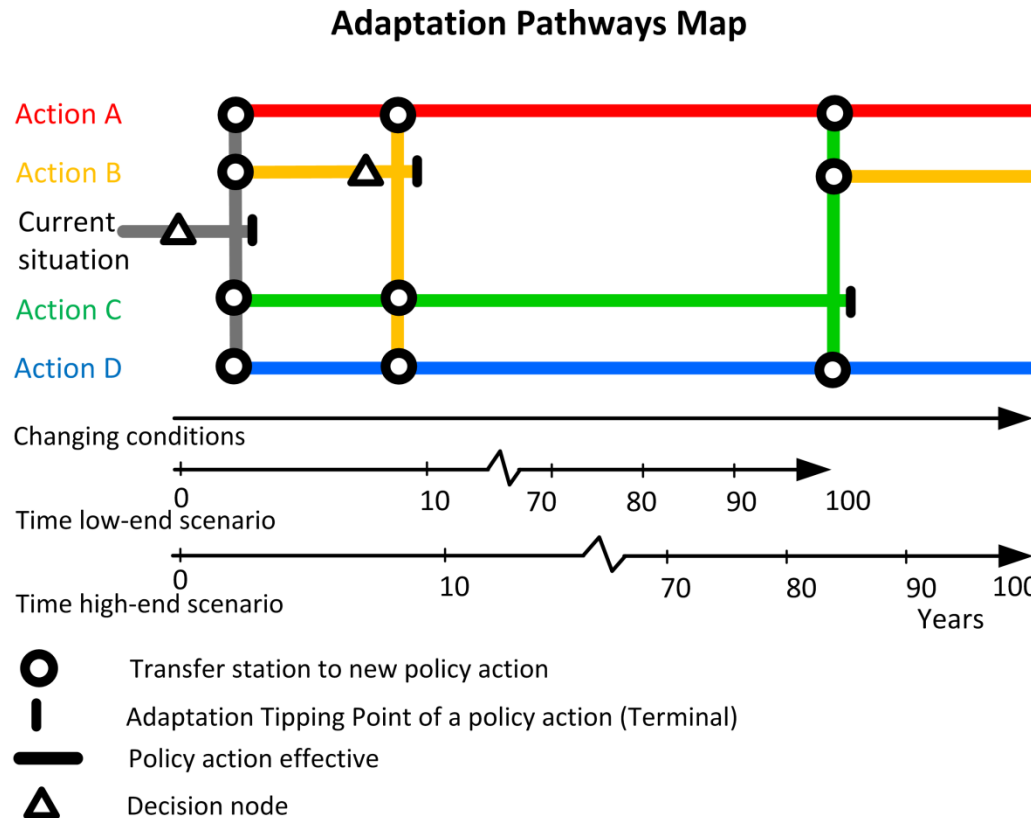


Planning for an Uncertain, Distant Future: Dynamic Adaptation Pathway Modelling (Haasnot et al. 2013)





Dynamic Adaptation Pathway Model (Haasnot et al. 2013)



Costs and benefits of pathways

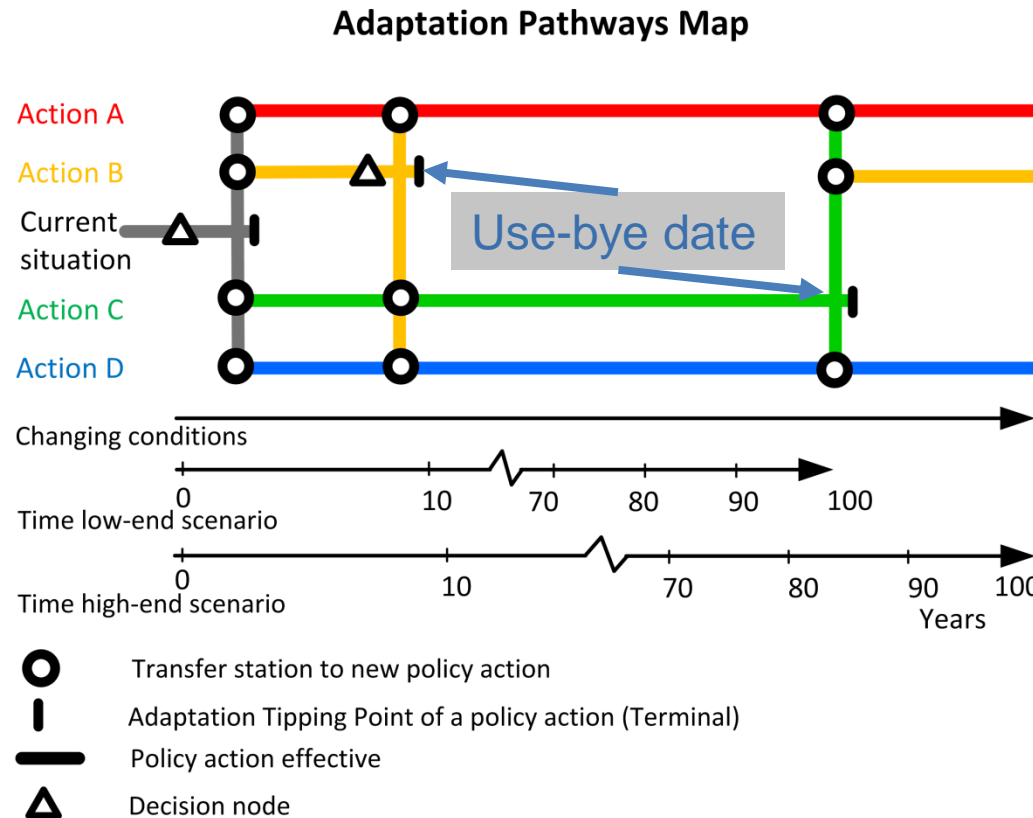
Time horizon 20 years				
Time horizon 50 years				
Time horizon 100 years				
Pathway		Costs	Benefits	Co-benefits
1	○	+++	+	0
2	○	+++++	0	0
3	○	+++	0	0
4	○	+++	0	0
5	○	0	0	-
6	○	++++	0	-
7	○	+++	0	-
8	○	+	+	---
9	○	++	+	---

Pathways that are not necessary in low-end scenario

A: Nourishment **B: Seagrass** **C: Dike (0.5m) + Buffer** **D: Dike (0.8m).**



Dynamic Adaptation Pathway Model (Haasnot et al. 2013)



Costs and benefits of pathways

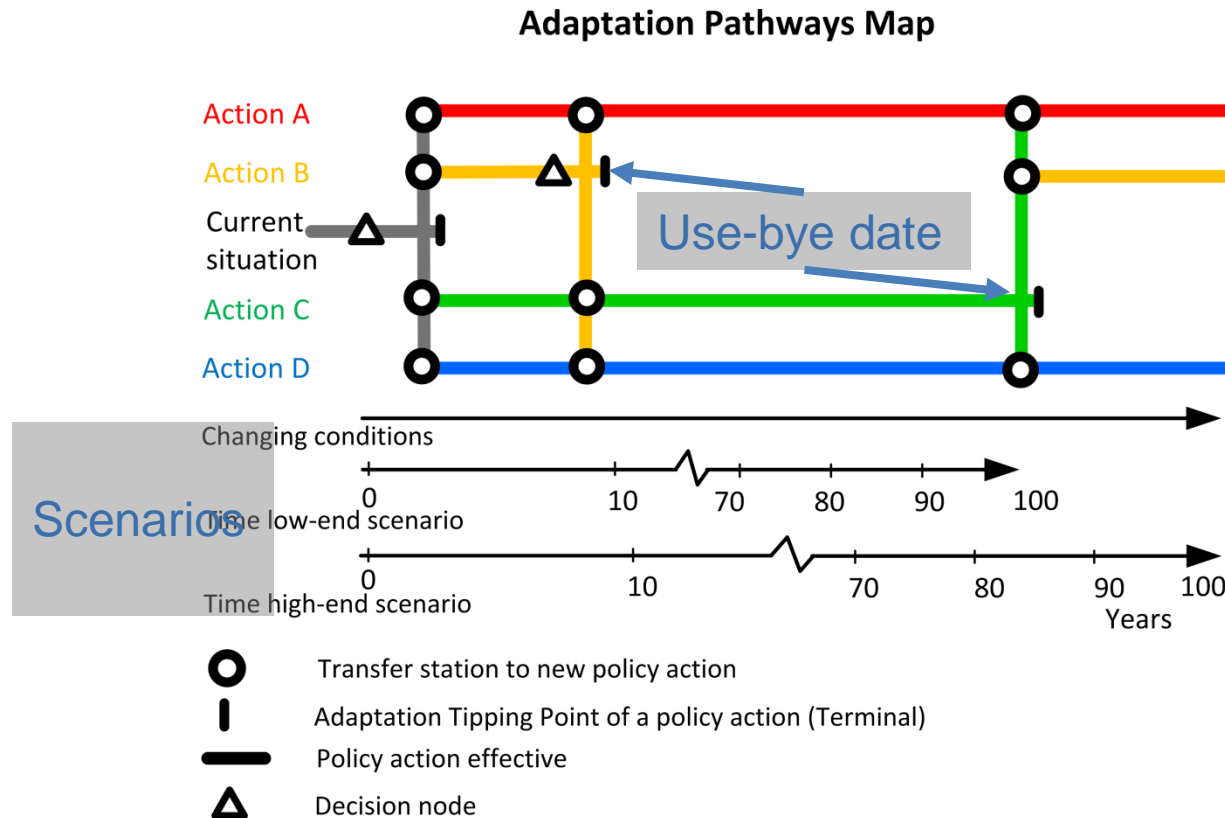
Time horizon 20 years				
Time horizon 50 years				
Time horizon 100 years				
Pathway		Costs	Benefits	Co-benefits
1	●	+++	+	0
2	●●	+++++	0	0
3	●●	+++	0	0
4	●●	+++	0	0
5	●	0	0	-
6	●●	++++	0	-
7	●●	+++	0	-
8	●●	+	+	---
9	●	++	+	---

Pathways that are not necessary in low-end scenario

A: Nourishment B: Seagrass C: Dike (0.5m) + Buffer D: Dike (0.8m).



Dynamic Adaptation Pathway Model (Haasnot et al. 2013)



Costs and benefits of pathways

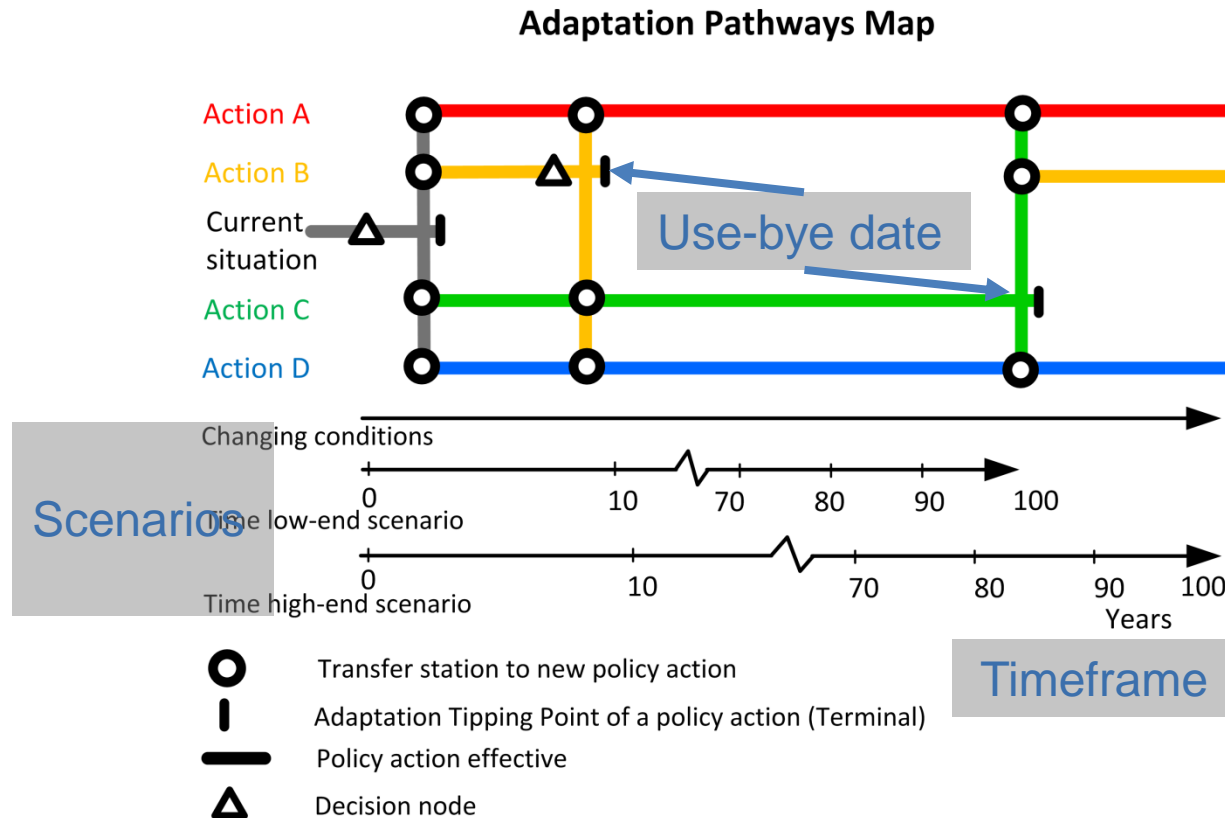
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4	○	+++	0	0
5	○	0	0	-
6	○	++++	0	-
7	○	+++	0	-
8	○	+	+	---
9	○	++	+	---

Pathways that are not necessary in low-end scenario

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Dynamic Adaptation Pathway Model (Haasnot et al. 2013)



Costs and benefits of pathways

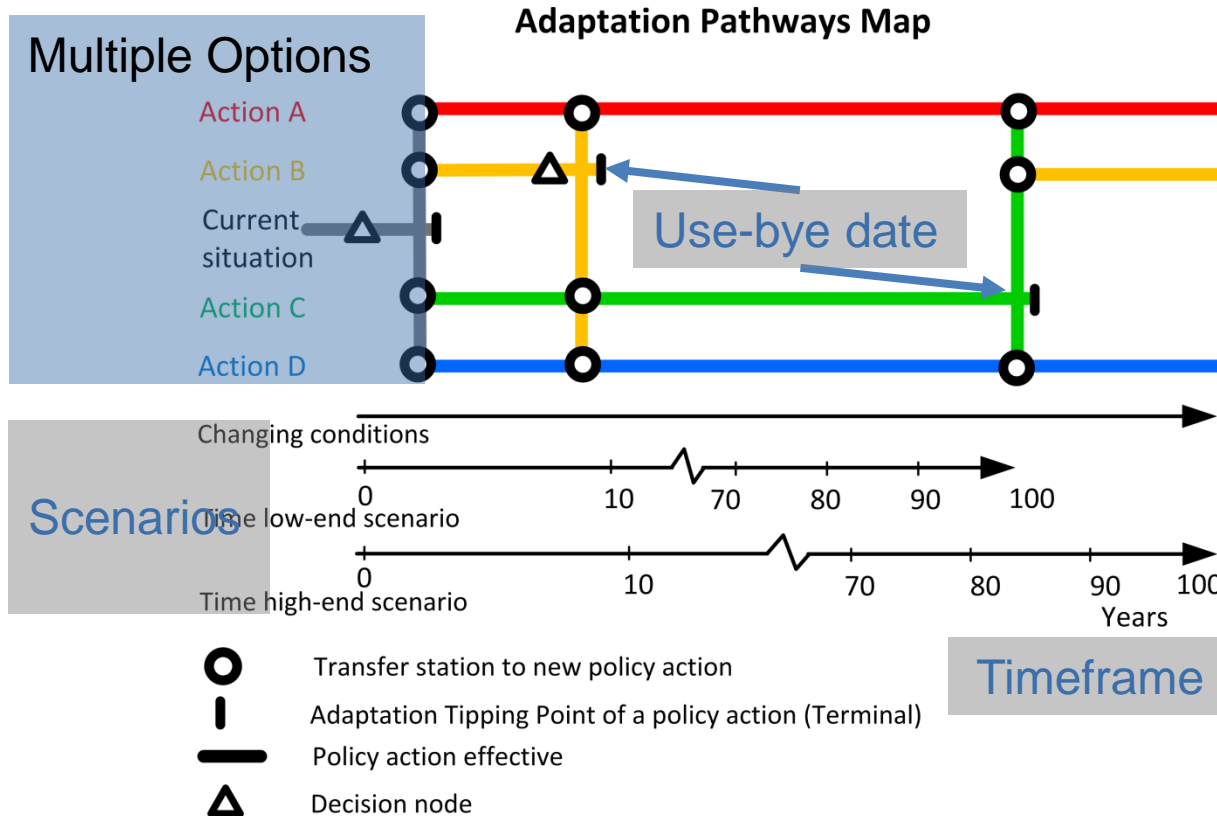
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Time horizon 50 years				
Time horizon 100 years				
Pathway		Costs	Benefits	Co-benefits
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3	● ●	+++	0	0
4	● ●	+++	0	0
5	●	0	0	-
6	● ●	++++	0	-
7	● ●	+++	0	-
8	● ●	+	+	---
9	●	++	+	---

Pathways that are not necessary in low-end scenario

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Dynamic Adaptation Pathway Model (Haasnot et al. 2013)



Costs and benefits of pathways

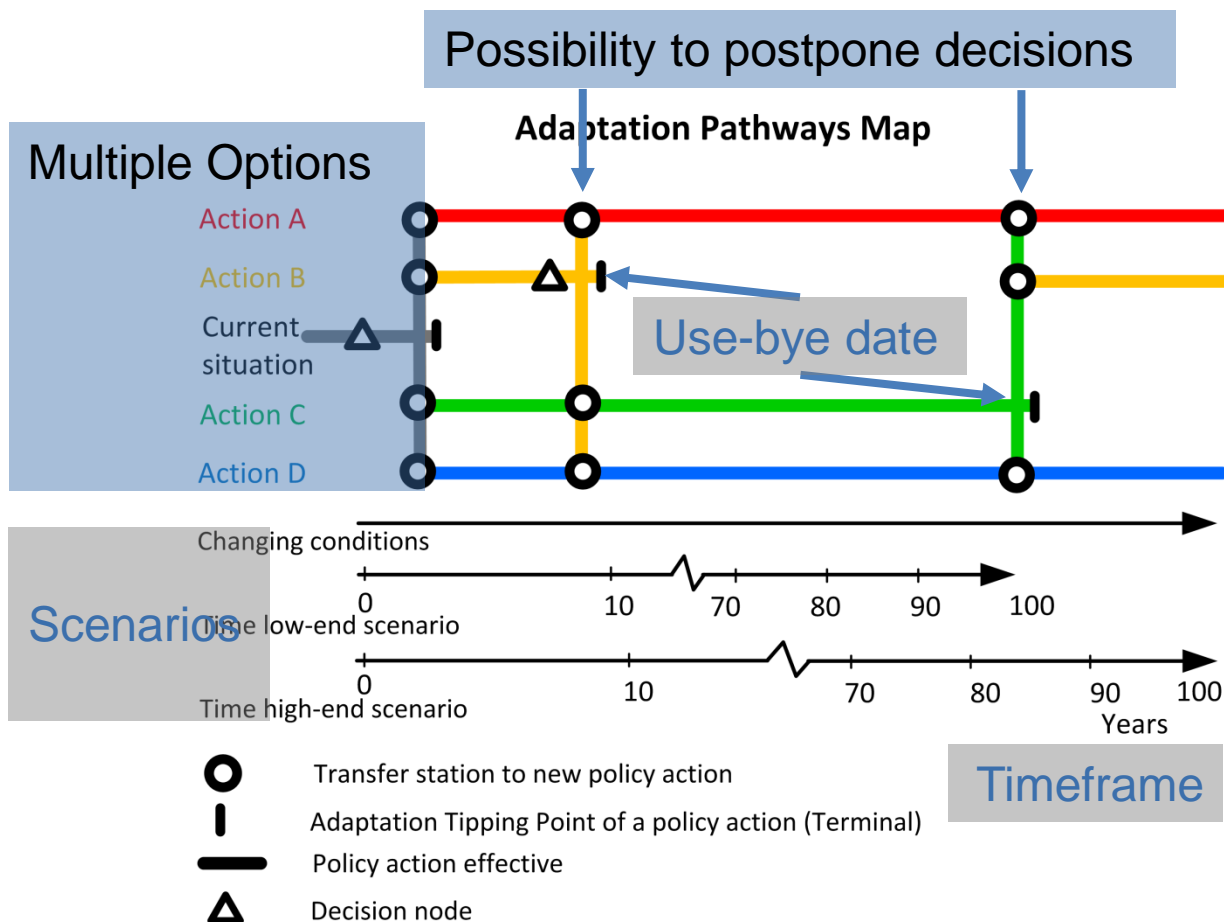
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7	● ●	+++	0	-
8	● ●	+	+	---
9	●	++	+	---

Pathways that are not necessary in low-end scenario

A: Nourishment B: Seagrass C: Dike (0.5m) + Buffer D: Dike (0.8m).



Dynamic Adaptation Pathway Model (Haasnot et al. 2013)



Costs and benefits of pathways

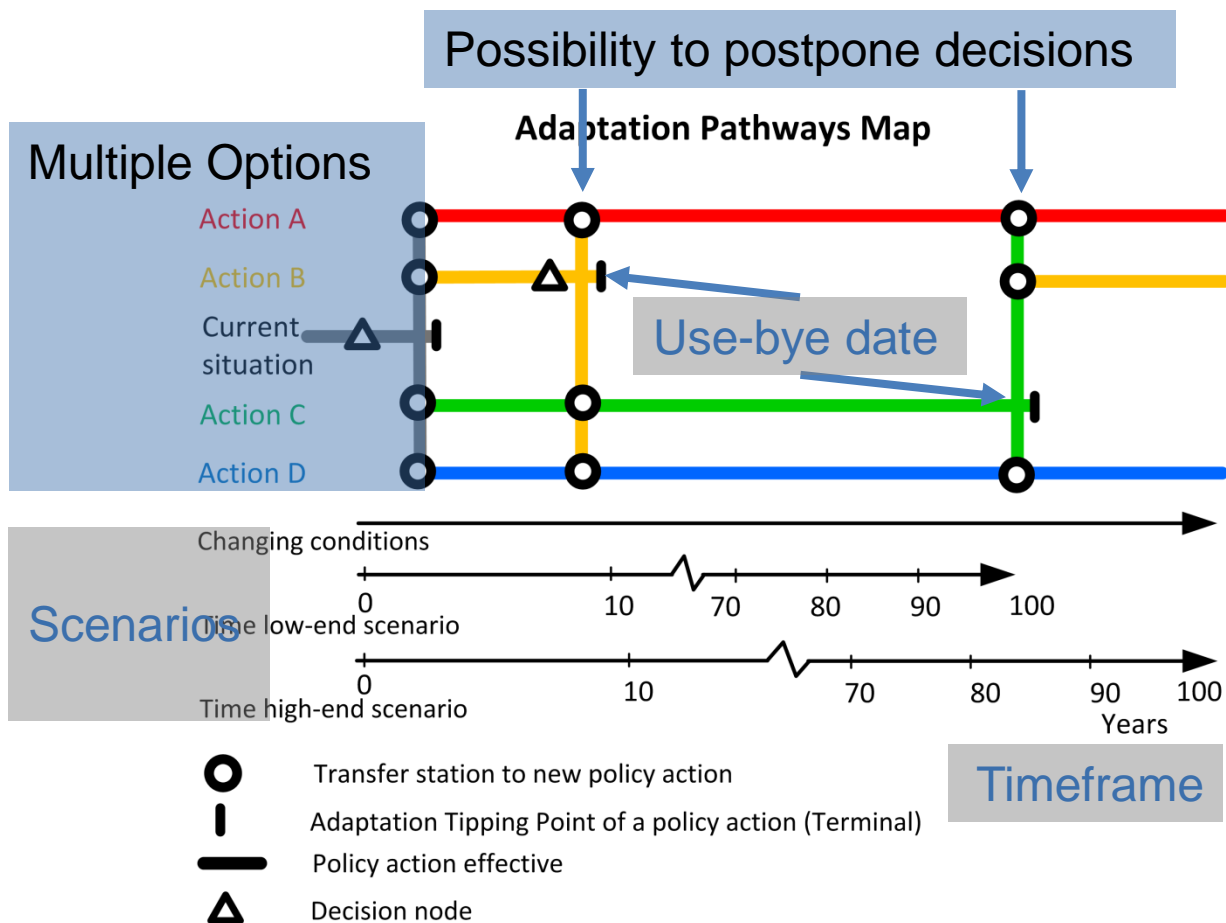
Time horizon 20 years				
Time horizon 50 years				
Time horizon 100 years				
Pathway		Costs	Benefits	Co-benefits
1	●	+++	+	0
2	●●	+++++	0	0
3	●●	+++	0	0
4	●●	+++	0	0
5	●	0	0	-
6	●●	++++	0	-
7	●●	+++	0	-
8	●●	+	+	---
9	●	++	+	---

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4	○	+++	0	0
5	○	0	0	-
6	○	++++	0	-
7	○	+++	0	-
8	○	+	+	---
9	○	++	+	---

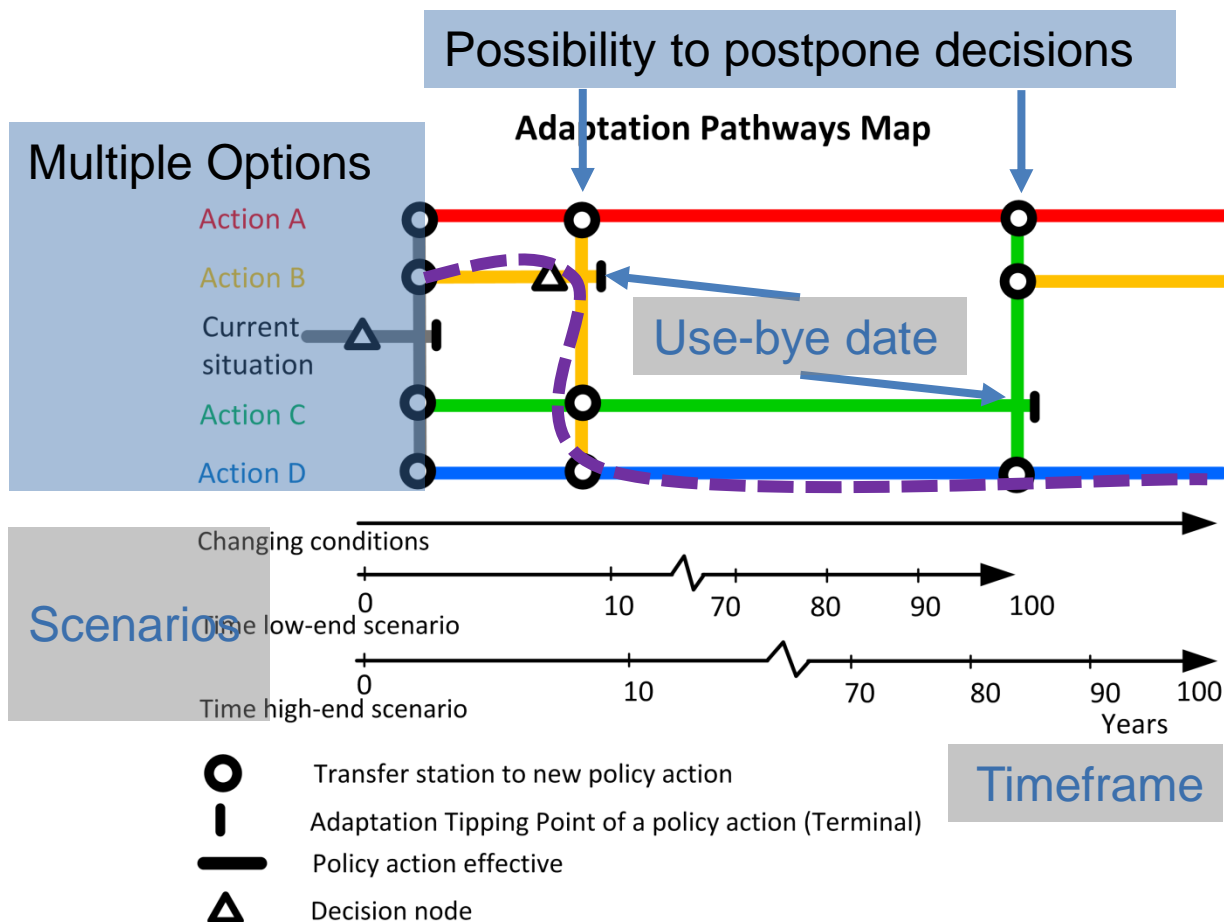
Pathways that are not necessary in low-end scenario

Visualizes the trade-off
between different options
→ different paths for
different policies and
societies.

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Dynamic Adaptation Pathway Model (Haasnot et al. 2013)



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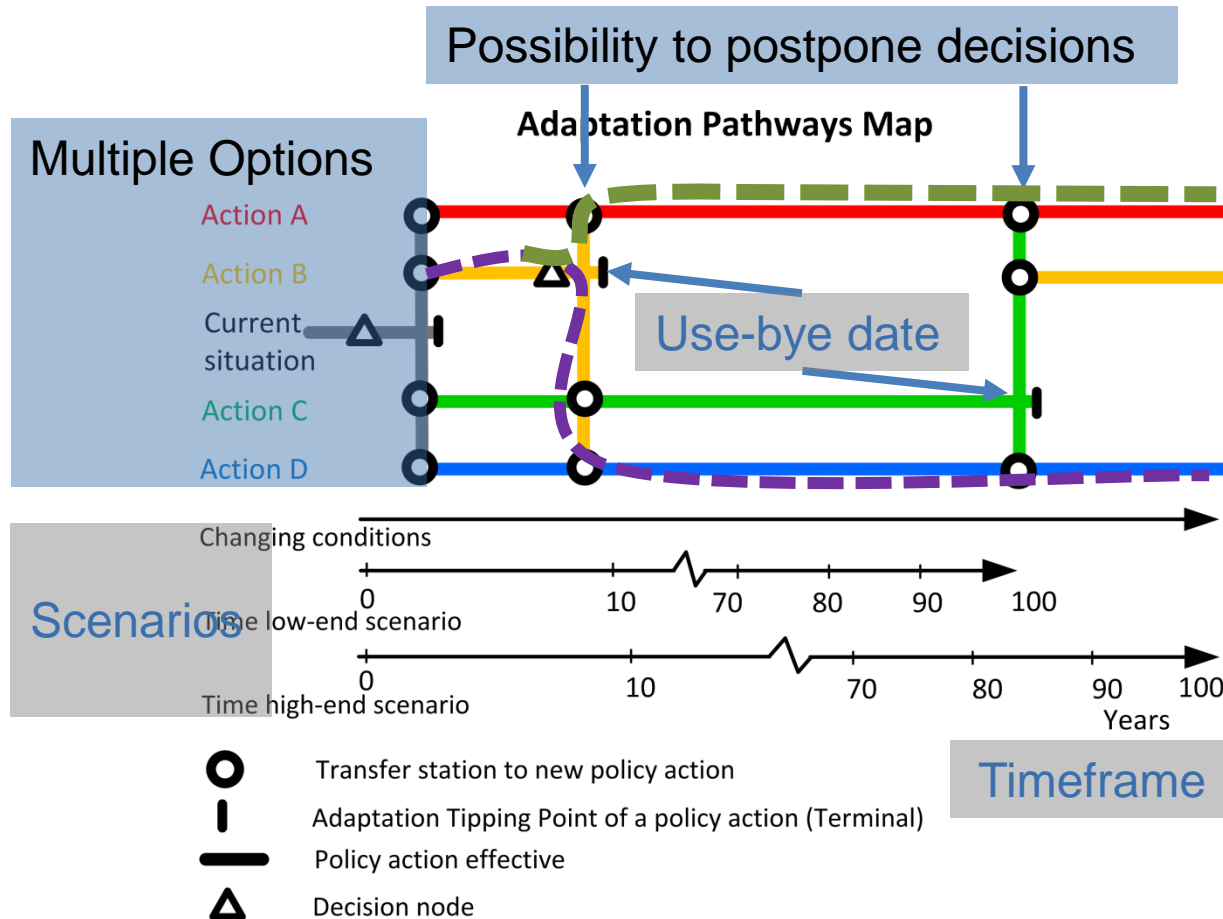
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Benefit of Adaptive Planning

- **Expects dynamic C_D , R_D and E_D**
Implies changes along the way
Flexible and robust over time
- **Proactive**
Being prepared, rather than reacting
- **Considers lead-time for implementations**
- **Helps to manage monitoring and focus on relevant parameters**
- **Decision-focused**
Considers lifetime of decisions / lifetime with decisions:
*Allows **changes in policy, society** as well as **new findings in technology***

Dynamic Adaptive Pathway Modelling follows the [Principle of small steps](#), which will lead to smaller impact. By considering different pathways, it helps to [plan flexible, dynamic, robust](#).



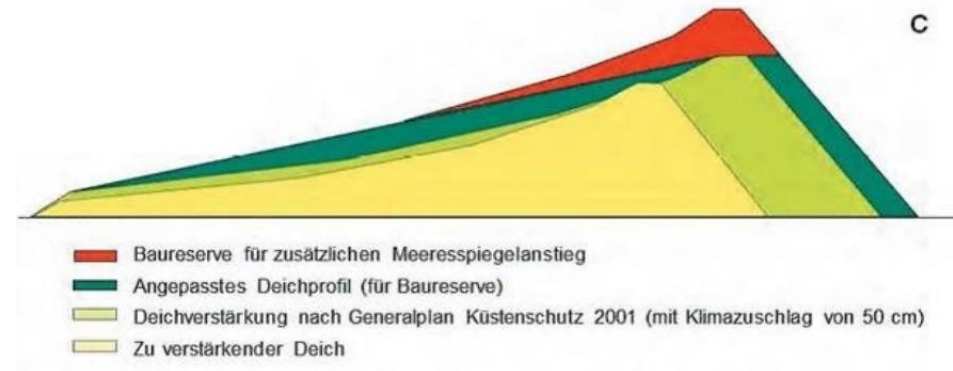
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Principle of Small Steps

>> Invest Right Amount at the Right Time! <<

Adaptive Planning - Example



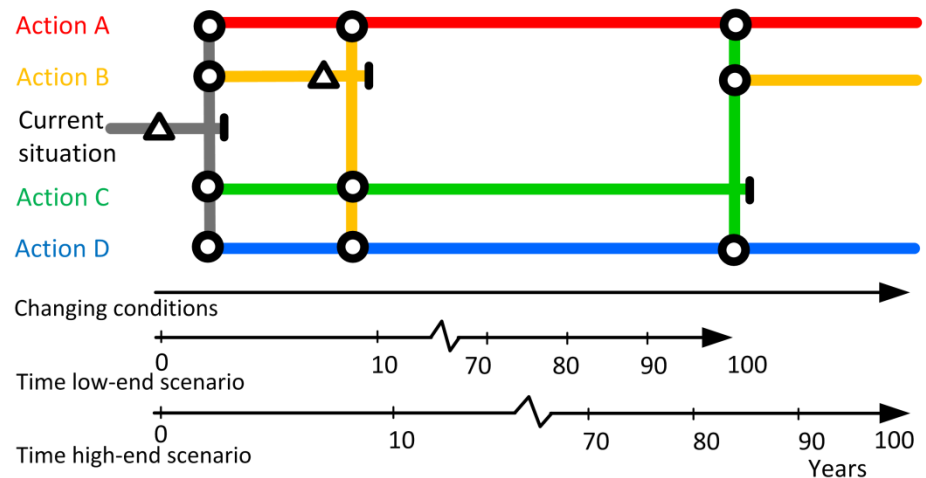
Nourishment →

Seagrass →

Dike (0.5m) + Buffer →

Dike (0.8m) →

Adaptation Pathways Map





Planning for an Uncertain, Distant Future (**Status Quo**)





Climate change adaptation requires a new mindset:

- **Status Quo:** Maximin rule (Wald, 1950):
Consider **worst possible outcome** of each adaptation option.
(Prepare for the worst case event in a timeframe or of a given recurrence, see slide 3).
- **Dynamic Adaptation Pathway Modelling:** Minimax regret rule (Savage, 1954)
Calculate the maximum regret for each adaptation option, and pick the option that has the smallest (regret) of these
("*[avoid] missed opportunities*").

Principle of Small Steps

>> Invest Right Amount at the Right Time! <<



Agenda

- ~~Introduction and Motivation~~
- ~~Adaptation Pathways~~
- Project: DICES
- Conclusion



DICES - Dealing with change in SIDS: societal action and political reaction in sea level change adaptation in Small Island Developing States

A cooperation between:



Universität Hamburg



Leibniz
Universität
Hannover

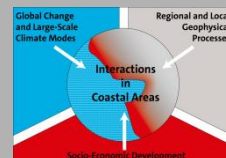
Funded by:



Deutsche
Forschungsgemeinschaft

Carried out within:

Priority Program (SPP)
1889: Regional Sea Level
Change and Society





DICES

WP I: Survey design, coordination, synthesis and feed-back

WP II: Probabalistic Pathway Design for Coastal Engineering Strategies



WP III: Perception and Governance Structures

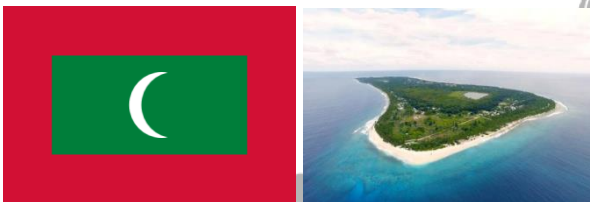


WP IV: Choices and Preference





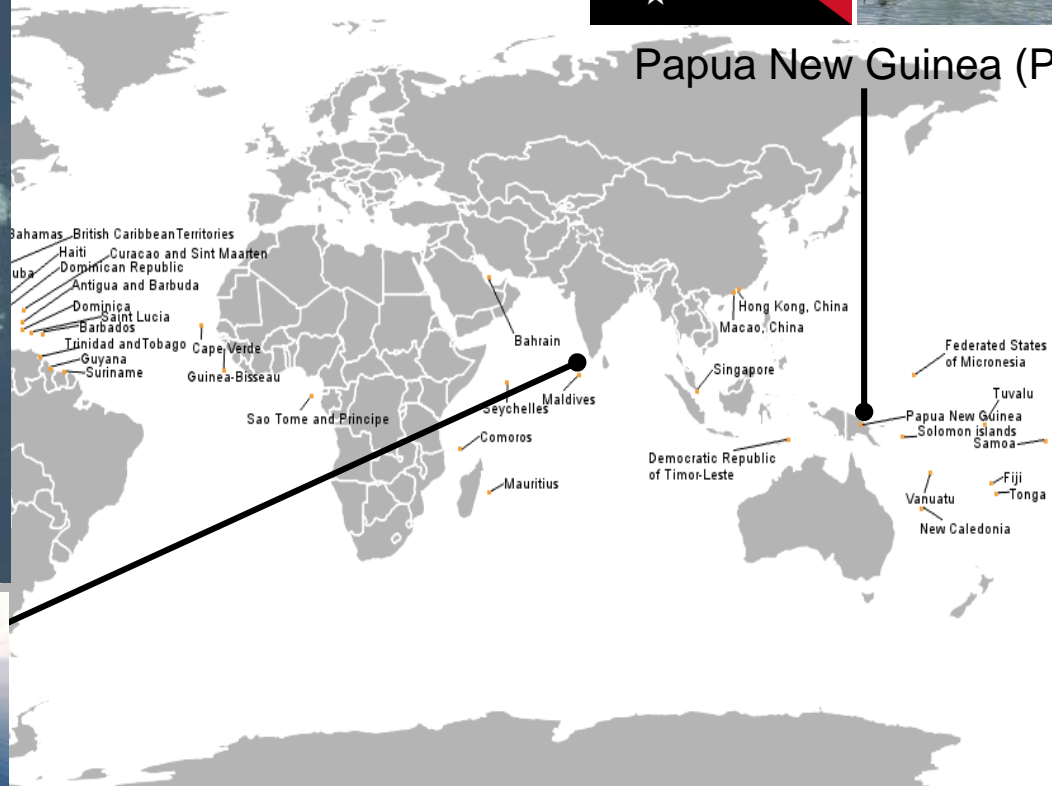
Focus Regions



Maldives (MDV)



Papua New Guinea (PNG)





Data
Acquisition

Wave and
Currents

Climate &
Weather
Data

Bathymetry

Topo-graphy

Hydro-
dynamic
BC

Topo-
graphic
BC

Analyzing

Numerical
Modelling

Utilization

Portfolio of
Options

Dynamic
Adaptation
Pathway
Model



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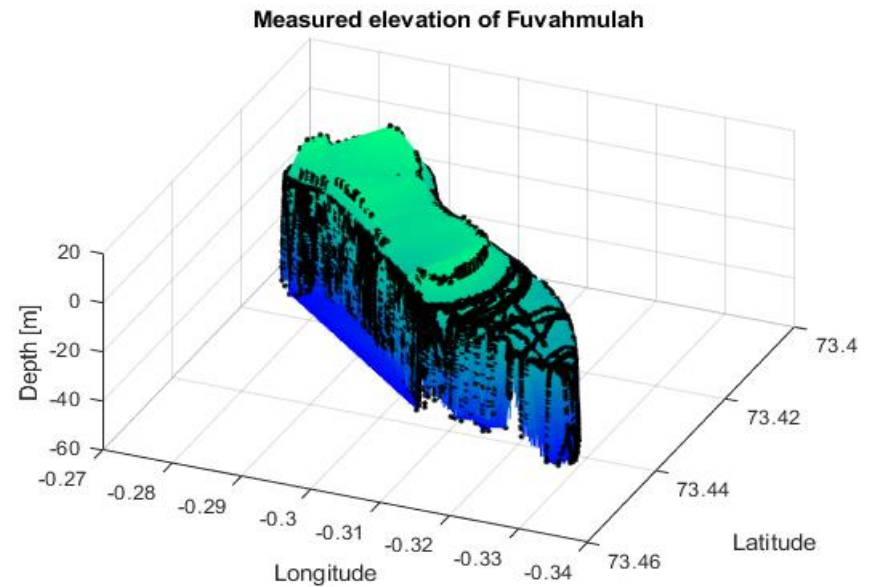
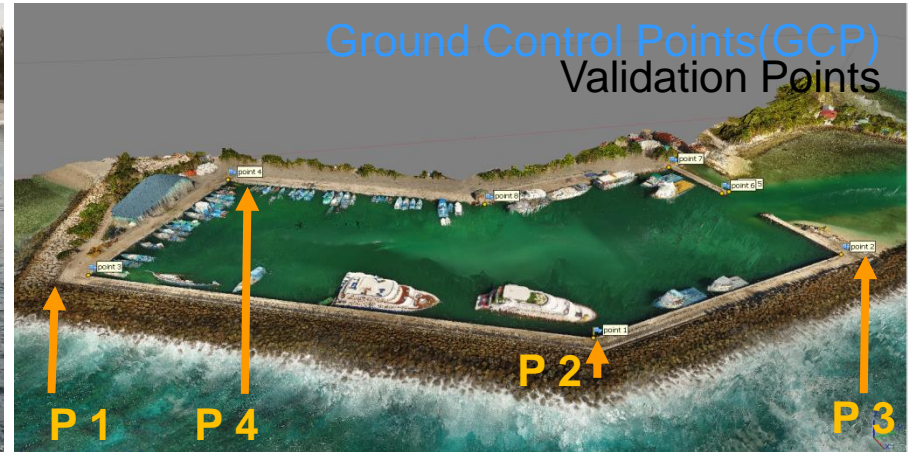
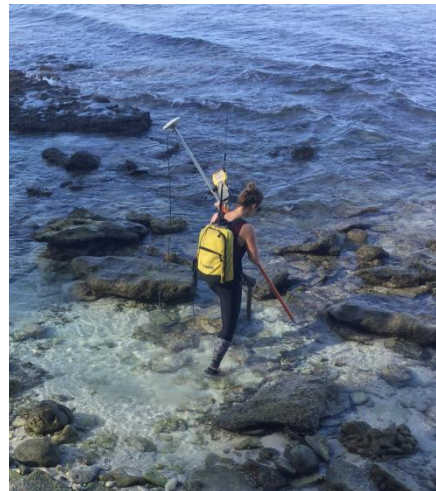
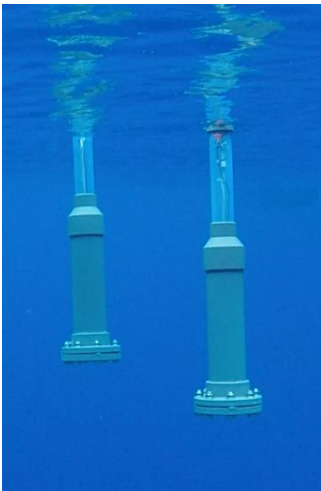
Dynamic
Adaptation
Pathway
Model

Choices and Preference

Perception and Governance Structures

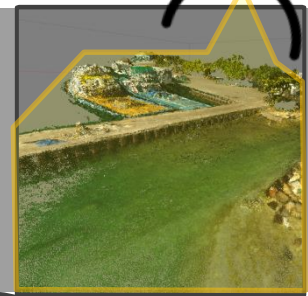
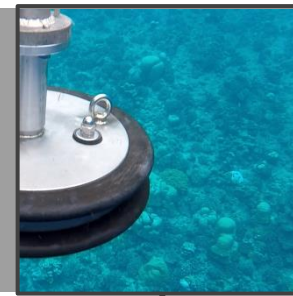
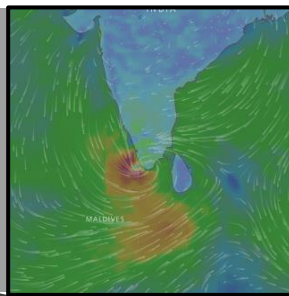
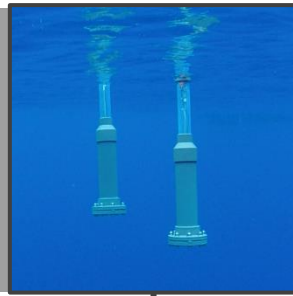


Field Campaign – Impression





Data
Acquisition



Analyzing

Hydro-
dynamic
BC

Topo-
graphic
BC

Numerical
Modelling

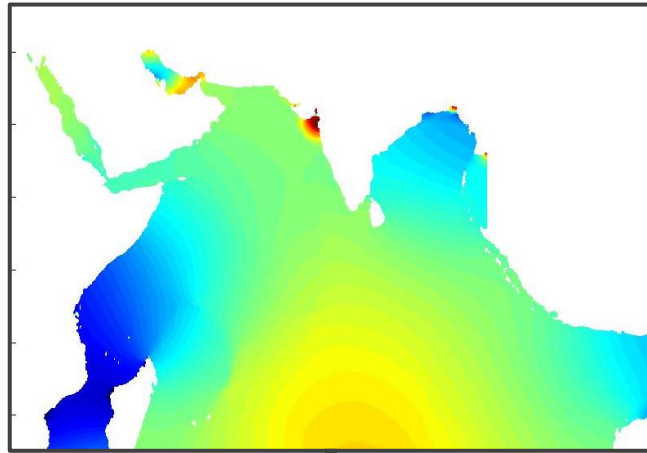
Utilization

Portfolio of
Options

Dynamic
Adaptation
Pathway
Model



Modelling Cascade

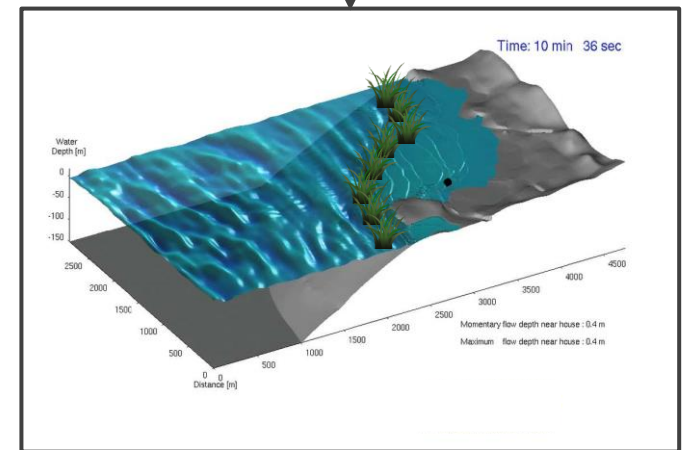


The Indian Ocean Model
provides **boundary
conditions** for climate
change induced **changes
in sea level and weather
patterns** according to
different scenarios



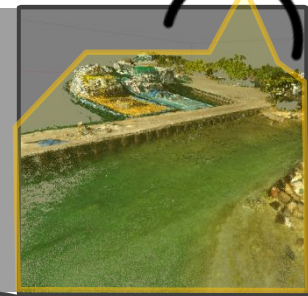
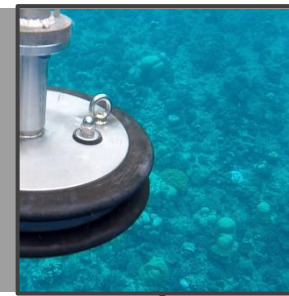
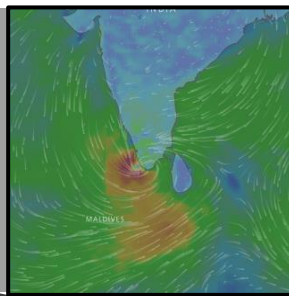
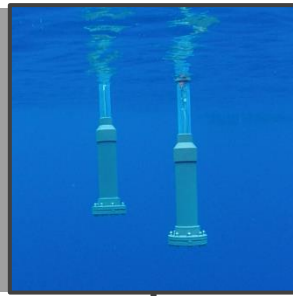
Intermediate Cascading

The local model is able
to capture **near-shore
hydrodynamics** and thus
directly the impact of
climate change on the
island.





Data
Acquisition



Analyzing

Hydro-
dynamic
BC

Topo-
graphic
BC

Numerical
Modelling

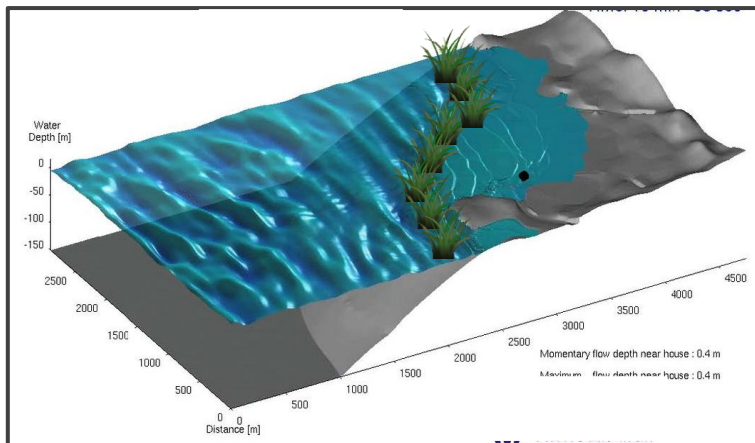
Utilization

Portfolio of
Options

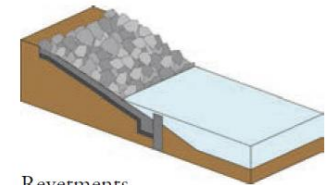
Dynamic
Adaptation
Pathway
Model



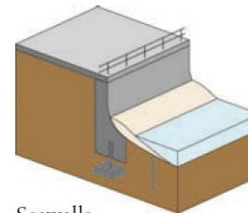
Modelling: Implementing portfolio of options



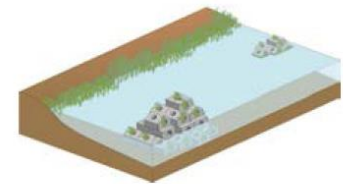
Breakwaters



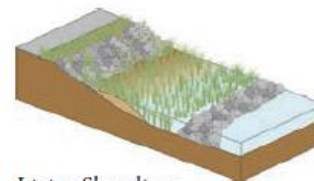
Revetments



Seawalls



Artificial Reefs



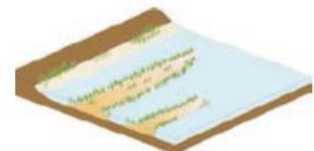
Living Shorelines



Beaches and Dunes



Strategic Retreat

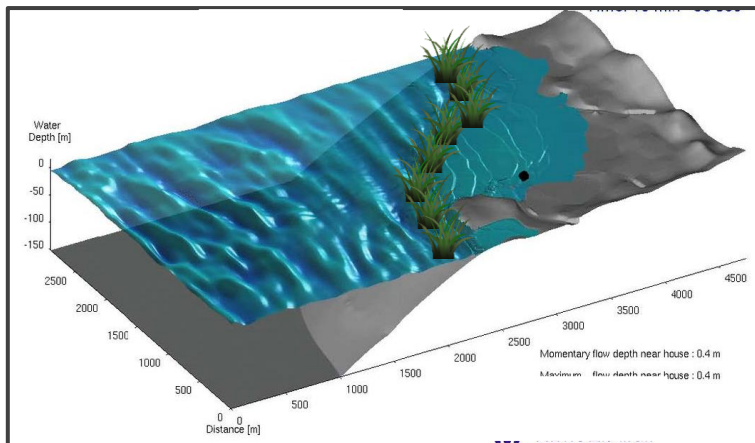


Constructed Breakwater Islands

Challenges

Momentum (x): (Roeber & Cheung, 2012)

$$(Hu)_t + H \left\{ \frac{z_\alpha^2}{2} [u_{xx} + v_{xy}] + z_\alpha [(hu)_{xx} + (hv)_{xy}] \right\}_t + (Hu^2)_x + (Huv)_y + gH\eta_x + w\psi_C + H\tau_1 - H \left(\frac{\psi_S}{\rho} \right)_x = 0$$

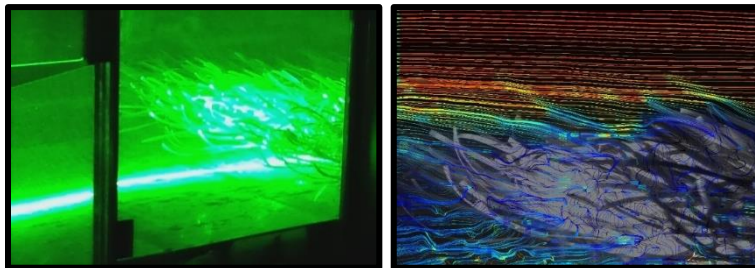
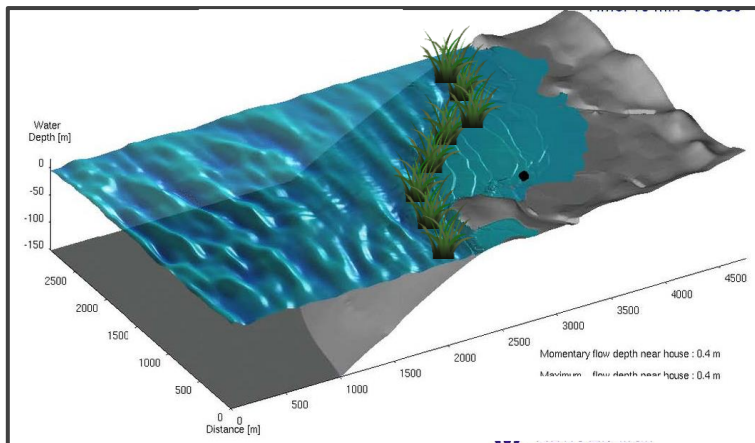


- Roughness is implemented with *Manning's n* (in x-direction):
$$\tau_1 = gn^2 H^{-2/3} u \sqrt{u^2 + v^2}$$
- Mendez and Losada (2004): *Manning n* is **not sufficient** to capture flexible vegetation under flow conditions.
- Losada et al. (2016) presented an **improved formulation** for flow through vegetation.
- Karambas et al. (2015) implement a **sub-module for canopy flow**.

Challenges

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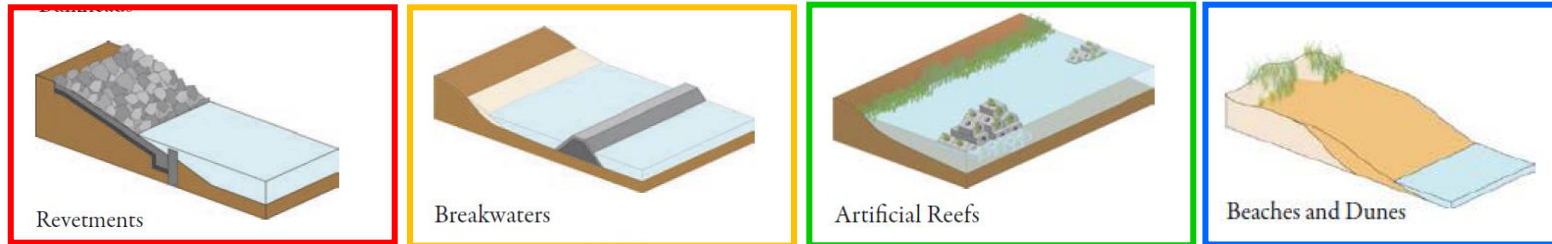
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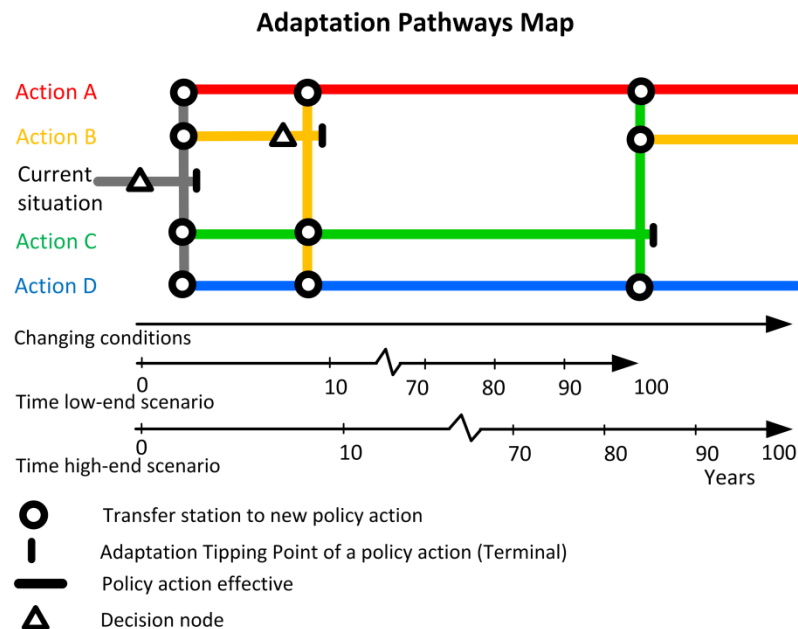
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Portfolio of Options → Dynamic Adaptation Pathway Model



A: Revetment B: Breakwater C: BioRock D: Revetment.



Costs and benefits of pathways

Time horizon 20 years			
Time horizon 50 years			
Time horizon 100 years			
Pathway	Costs	Benefits	Co-benefits
1 ○	+++	+	0
2 ○	++++	0	0
3 ○	+++	0	0
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6 ○	++++	0	-
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8 ○	+	+	---
9 ○	++	+	---

Pathways that are not necessary in low-end scenario

Showcase Example!



Agenda

- ~~Introduction and Motivation~~
- ~~Adaptation Pathways~~
- ~~Project: DICES~~
- Conclusion



Conclusions

- Traditional coastal engineering structures have kept us safe in the past and will be able to keep us safe in the future.
- **Nature-based Solutions** can complement or replace those traditional solutions and in addition can offer **ecosystem-based services**.
- **Adaptation Pathways** are a dynamic and appropriate tool to address an uncertain future and **help us to implement NbS** in current protection systems or concepts.
- Due to the lack of experience, further research is required to "forecast" the performance of NbS (for example in numerical tools).



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Nicola **Ranger**, Tim Reeder, Jason Lowe **(2013)**: *Addressing ‘deep’ uncertainty over long-term climate in major infrastructure projects: four innovations of the Thames Estuary 2100 Project* J. EURO J Decis Process 1: 233.
<https://doi.org/10.1007/s40070-013-0014-5>

V. **Roeber**, K.F. **Cheung (2012)**: *Boussinesq-type model for energetic breaking waves in fringing reef environments* Coast. Eng., 70 (2012), pp. 1-20

Savage, L. J. **(1954)**: *The Foundation of Statistics*. New York, Wiley.

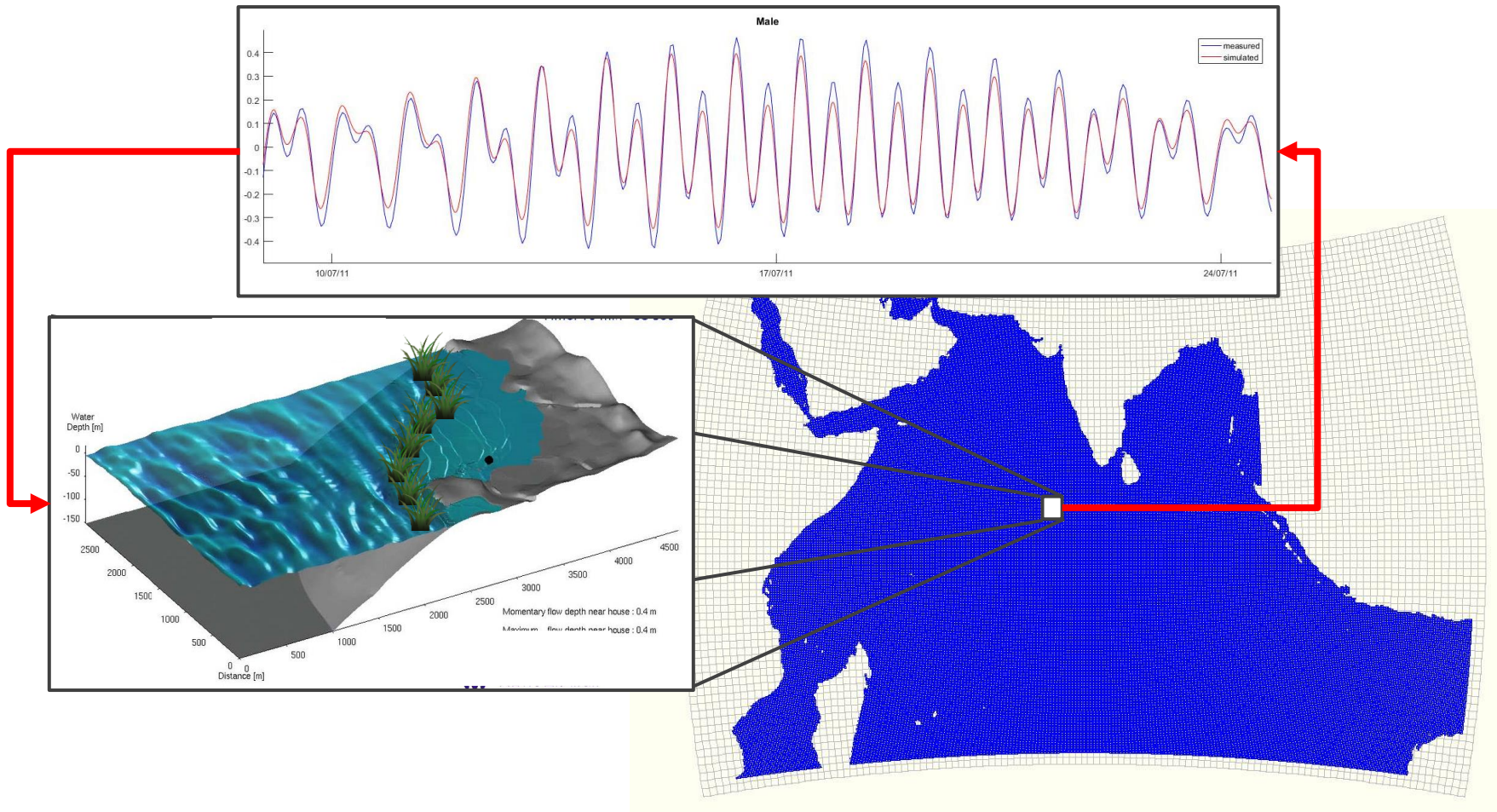
Wald, A. **(1950)**: *Statistical Decision Functions*, Wiley, New York.



Thank you for your attention



Nested Modelling





Why SIDS?

- *"SLR poses one of the most widely recognized climate change threats to low-lying coastal areas on islands and atolls (SIDS)"*

Why project together with social scientists?

- *"Adaptation to climate change generates **larger benefit** to small islands when delivered **in conjunction with other development activities**, such as disaster risk reduction and community-based approaches to development"*

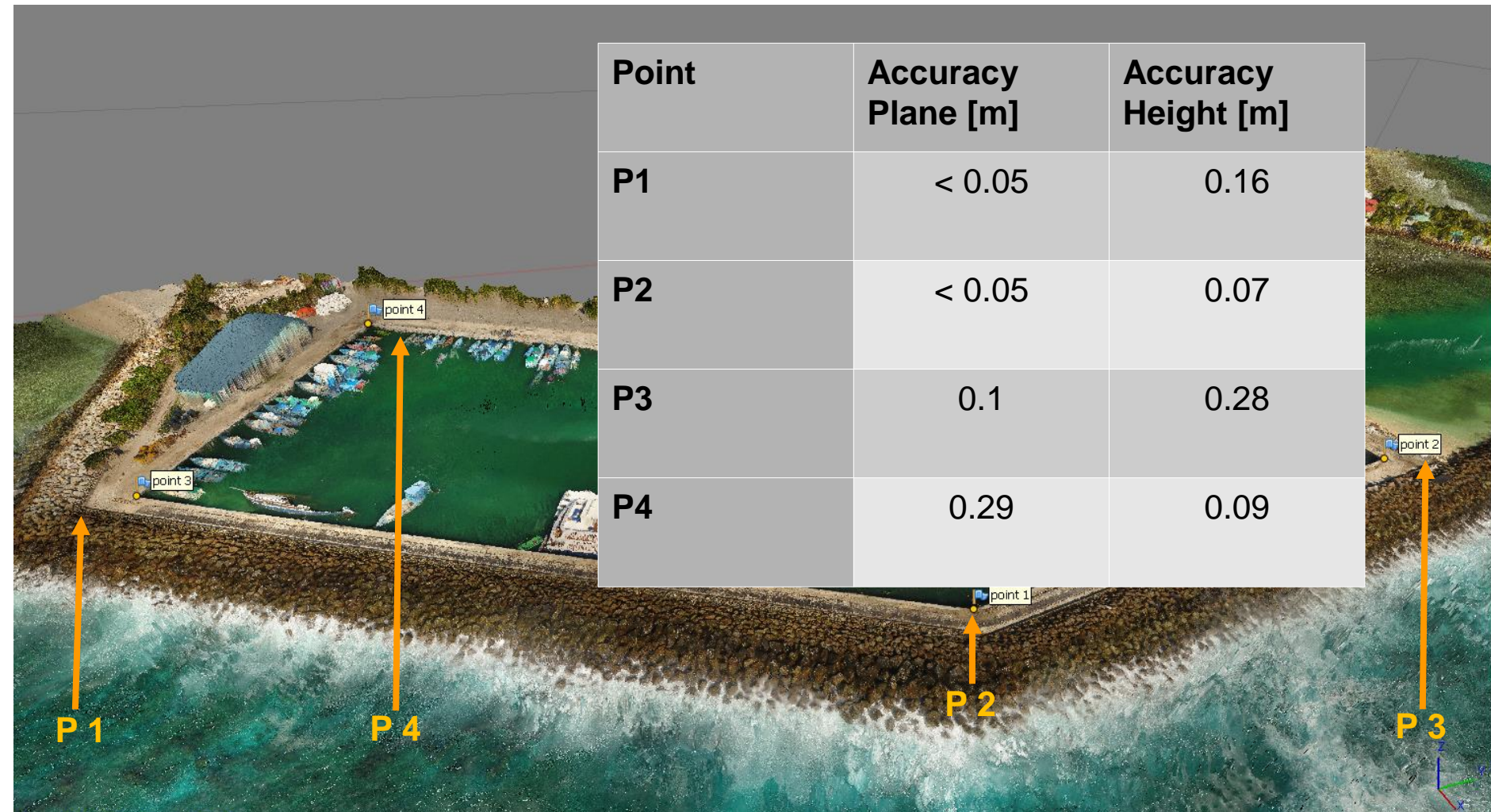
Why these focus areas?

- *"response to climate-related drivers [...] climate change impacts, vulnerability, and adaptation will be **variable from one island region to another** and between countries in the same region."*
→ What are differences and communalities between MDV and PNG?

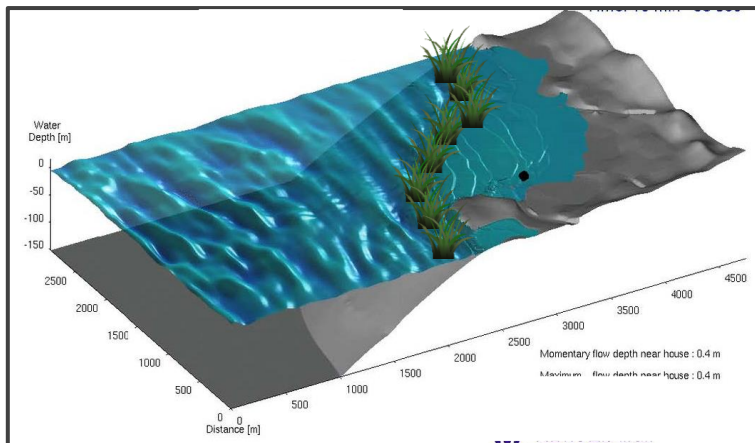
Citation from IPCC Report (2014), Chap. 25 *"Small Islands"*



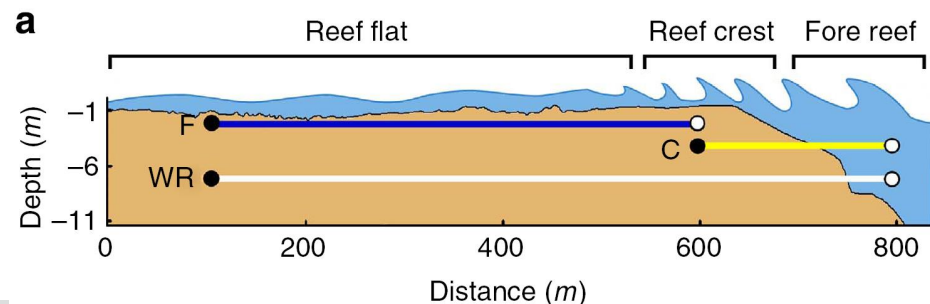
Topography



Benefits of 2DH Modelling



- Covers wave phenomena and their impacts in much **finer temporal and spacial resolution** (→ detailed).
- **Phase resolving** model captures – amongst others – **reef dynamics** (Roeber et al. 2015)
- **Depth averaged** model regarding **whole island**, while keeping computation time acceptable
- Boussinesq approaches perform especially well for **lower frequencies** ($kh < 4$).





Adaptation (to climate change):

An action to tackle the risk of a certain hazard (of climate change).

Can be a structure, a set of structures (system), a strategy or a modification as well as an improvement of an existing structure, which will decrease the risk of being (negatively) affected by climate change.



Example: Thames Barrier

Example: Climate Change Adaptation of the Thames Barrier (Ranger et al. 2010, 2013):

- *Only in a few cases will a decision-maker be forced to make the difficult choice between potentially ‘high regrets’ [...] In many cases a **range of ‘no-regrets’ options are available.***

But this requires a new [mindset](#):

- **Status Quo:** Maximin rule (Wald 1949) - Consider **worst possible outcome** of each adaptation option. (Worst case event in a timeframe, see slide 3).
- **Dynamic Adaptation Pathway Modelling:** Minimax regret rule (Savage 1954) - Calculate the maximum regret for each adaptation option, and pick the option that has the [smallest \(regret\)](#) of these ("*[avoid] missed opportunities*").